UNIVERSITY OF MARYLAND

STANDARDS FOR SCIENTIFIC DIVING CERTIFICATION, OPERATION OF SCIENTIFIC DIVING PROGRAMS, AND BOATING SAFETY MANUAL

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FOREWORD

Since 1951 the scientific diving community has endeavored to promote safe, effective diving through self-imposed diver training and education programs. Over the years, manuals for diving safety have been circulated between organizations, revised and modified for local implementation, and have resulted in an enviable safety record.

This document represents the minimal safety standards for scientific diving at the present day. As diving science progresses so shall this manual, and it is the responsibility of every member of the Academy to see that it always reflects state of the art, safe diving practice.

-- American Academy of Underwater Sciences

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SECTION 1.00

GENERAL POLICY

1.10 THE SCIENTIFIC DIVING STANDARDS

1.11 Purpose

The purpose of these Scientific Diving Standards is to ensure that all scientific diving is conducted in a manner that will maximize protection of scientific divers from accidental injury and/or illness, and to set forth standards for training and certification which will allow a working reciprocity between Organizational Members. Fulfillment of the purposes shall be consistent with the furtherance of research and safety.

This document sets minimal standards for the establishment of the American Academy of Underwater Sciences (AAUS)-recognized scientific diving programs, the organization for the conduct of these programs, and the basic regulations and procedures for safety in scientific diving operations. It also establishes a framework for reciprocity between AAUS organizational members which adhere to these minimum standards.

This manual was developed and written by the University of Maryland by compiling the policies set forth in the diving manuals of several university, private, and governmental scientific diving programs. These programs share a common heritage with the scientific diving program at the Scripps Institution of Oceanography (SIO). Adherence to the SIO standards has proven both feasible and effective in protecting the health and safety of scientific divers since 1954.

In 1982, OSHA exempted scientific diving from commercial diving regulations (29 CFR Part 1910, Subpart T) under certain conditions which are outlined below. The final guidelines for the exemption became effective in 1985 (Federal Register, Vol. 50, No.6, p.1046) The AAUS is recognized by OSHA as the scientific diving standard setting organization.

Additional standards which extend this document may be adopted by subdivisions of the University of Maryland, according to local procedure.

1.12 Scientific Diving Definition

Scientific diving is defined (29 Code of Federal Regulations 1910.402) as diving performed solely as a necessary part of a scientific, research, or educational activity by employees whose sole purpose for diving is to perform scientific research tasks.

1.13 Scientific Diving Exemption

OSHA has granted an exemption for scientific diving from commercial diving regulations under the following guidelines (Appendix B to Subpart T):

1.13.1 The Diving Control Board consists of a majority of active scientific divers and has autonomous and absolute authority over the scientific diving program's operation.

1.13.2 The purpose of the project using scientific diving is the advancement of science; therefore, information and data resulting from the project are non-proprietary.

1.13.3 The tasks of a scientific diver are those of an observer and data gatherer. Construction and trouble-shooting tasks traditionally associated with commercial diving are not included within scientific diving.

1.13.4 Scientific divers, based on the nature of their activities, must use scientific expertise in studying the underwater environment and therefore, are scientists or scientists-in-training.

1.13.5 In addition, the scientific diving program shall contain at least the following elements:

1.13.5.1 Diving safety manual which includes at a minimum: Procedures covering all diving operations specific to the program; including procedures for emergency care, recompression and evacuation; and the criteria for diver training and certification.

1.13.5.2 Diving control (safety) board, with the majority of its members being active scientific divers, which shall at a minimum have the authority to: approve and monitor diving projects, review and revise the diving safety manual, assure compliance with the manual, certify the depths to which a diver has been trained, take disciplinary action for unsafe practices, and assure adherence to the buddy system (a diver is accompanied by and is in continuous contact with another diver in the water) for scuba diving.

1.14 Review of Standards

As part of each UM ’s annual report, any recommendations for modifications of these standards shall be submitted to the AAUS for consideration.

1.20 OPERATIONAL CONTROL

1.21 University of Maryland, College Park Auspices Defined

For the purposes of these standards the auspices of the UM includes any scientific diving operation in which UM is connected because of ownership of any equipment used, locations selected, or relationship with the individual(s) concerned. This includes all cases involving the operations of employees of the UM or employees of auxiliary organizations, where such employees are acting within the scope of their employment, and the operations of other persons who are engaged in scientific diving of the UM or are diving as members of an organization recognized by the UM.

It is the UM 's responsibility to adhere to the AAUS Standards for Scientific Diving Certification and Operation of Scientific Diving Programs. The administration of the local diving program will reside with the UM 's Diving Control Board (DCB).

The regulations herein shall be observed at all locations where scientific diving is conducted.

1.22 UM ’s Scientific Diving Standards and Safety Manual

The UM shall develop and maintain a scientific diving safety manual which provides for the development and implementation of policies and procedures that will enable UM divers to meet requirements of local environments and conditions as well as to comply with the AAUS scientific diving standards. The UM 's scientific diving standards shall include, but not be limited to:

1.22.1 The AAUS Standards may be used as a set of minimal guidelines for the development of a UM scientific diving safety manual.

1.22.2 Emergency evacuation and medical treatment procedures.

1.22.3 The criteria for diver training and certification.

1.22.4 Standards written or adopted by reference for each diving mode utilized which include the following:

1.22.4.1 Safety procedures for the diving operation.

1.22.4.2 Responsibilities of the dive team members.

1.22.4.3 Equipment use and maintenance procedures.

1.22.4.4 Emergency procedures.

1.23 The Diving Safety Officer

The Diving Safety Officer (DSO) serves as a member of the Diving Control Board. This person should have broad technical and scientific expertise in research related diving.

1.23.1 Qualifications

1.23.1.1 Shall be appointed by the responsible administrative officer or his/her designee, with the advice and counsel of the diving control board.

1.23.1.2 Shall be trained as a scientific diver.

1.23.1.3 Shall be a full member as defined by the AAUS.

1.23.1.4 Shall be an active underwater instructor from a nationally recognized agency.

1.23.2 Duties and Responsibilities

1.23.2.1 Shall be responsible, through the DCB, to the responsible administrative officer or his/her designee, for the conduct of the scientific diving program of the membership organization. The routine operational authority for this program, including the conduct of training and certification, approval of dive plans, maintenance of diving

records, and ensuring compliance with this manual and all relevant regulations of the membership organization, rests with the Diving Safety Officer.

1.23.2.2 May permit portions of this program to be carried out by a qualified delegate, although the Diving Safety Officer may not delegate responsibility for the safe conduct of the local diving program.

1.23.2.3 Shall be guided in the performance of the required duties by the advice of the DCB, but operational responsibility for the conduct of the local diving program will be retained by the Diving Safety Officer.

1.23.2.4 Shall suspend diving operations which he/she considers to be unsafe or unwise.

1.24 The Diving Control Board

1.24.1 The Diving Control Board (DCB) shall consist of a majority of active scientific divers. Voting members shall include the Diving Safety Officer, the responsible administrative officer, or his/her designee, and should include other representatives of the diving program such as qualified divers and members selected by procedures established by UM. A chair-person and a secretary may be chosen from the membership of the board according to local procedure.

1.24.2 Has autonomous and absolute authority over the scientific diving program's operation.

1.24.3 Shall approve and monitor diving projects.

1.24.4 Shall review and revise the diving safety manual.

1.24.5 Shall assure compliance with the manual.

1.24.6 Shall certify the depths to which a diver has been trained.

1.24.7 Shall take disciplinary action for unsafe practices.

1.24.8 Shall assure adherence to the buddy system for scuba diving.

1.24.9 Shall act as the official representative of the membership organization in matters concerning the scientific diving program.

1.24.10Shall act as a board of appeal to consider diver-related problems.

1.24.11 Shall recommend the issue, reissue, or the revocation of diving certifications.

1.24.12 Shall recommend changes in policy and amendments to the AAUS and the membership organization's scientific diving manual as the need arises.

1.24.13 Shall establish and/or approve training programs through which the applicants for certification can satisfy the requirements of the UM 's diving safety manual.

1.24.14 Shall suspend diving programs which it considers to be unsafe or unwise.

1.24.15 Shall establish criteria for equipment selection and use.

1.24.16 Shall recommend new equipment or techniques.

1.24.17 Shall establish and/or approve facilities for the inspection and maintenance of diving and associated equipment.

1.24.18 Shall ensure that UM air station(s) meet air quality standards as described in Sec. 3.60 of this manual.

1.24.19 Shall periodically review the Diving Safety Officer's performance and program.

1.24.20 Shall sit as a board of investigation to inquire into the nature and cause of diving accidents or violations of the UM diving manual.

1.25 Instructional Personnel

1.25.1 Qualifications
All personnel involved in diving instruction under the auspices of the UM shall be qualified for the type of instruction being given.

1.25.2 Selection
Instructional personnel will be selected by the responsible administrative officer, or his/her designee, who will solicit the advice of the DCB in conducting preliminary screening of applicants for instructional positions.

1.26 Lead Diver
For each dive, one individual shall be designated as the Lead Diver. He/she shall be at the dive location during the diving operation. The Lead Diver shall be responsible for:

1.26.1 Coordination with other known activities in the vicinity which are likely to interfere with diving operations.

1.26.2 Ensuring all dive team members possess current certification and are qualified for the type of diving operation.

1.26.3 Planning dives in accordance with section 2.21

1.26.4 Ensuring safety and emergency equipment is in working order and at the dive site.

1.26.5 Briefing the dive team members on:

1.26.5.1 Dive objectives.

1.26.5.2 Unusual hazards or environmental conditions likely to affect the safety of the diving operation.

1.26.5.3 Modifications to diving or emergency procedures necessitated by the specific diving operation.

1.26.6 Suspending diving operations if in his/her opinion conditions are not safe.

1.26.7 Reporting to the DSO and DCB any physical problems or adverse physiological effects including symptoms of pressure-related injuries.

1.27 Reciprocity And Visiting Scientific Diver

1.27.1 Two or more AAUS Organizational Members engaged jointly in diving activities, or engaged jointly in the use of diving resources, shall designate one of the participating Diving Control Boards to govern the joint dive project.

1.27.2 A scientific diver from UM shall apply for permission to dive under the auspices of another Organizational Member by submitting to the Diving Safety Officer of the host Organizational Member a document containing all the information described in Appendix 8. (letter of reciprocity) signed by the Diving Safety Officer or Chairperson of the home Diving Control Board.

1.27.3 A visiting scientific diver may be asked to demonstrate his/her knowledge and skills for the planned diving.

1.27.4 If UM denies a visiting scientific diver permission to dive, the host Diving Control Board shall notify the visiting scientific diver and his/her Diving Control Board with an explanation of all reasons for the denial.

1.28 Waiver of Requirements

The organizational Diving Control Board may grant a waiver for specific requirements of training, examinations, depth certification, and minimum activity to maintain certification.

1.29 Consequence of Violation of Regulations by Scientific Divers

Failure to comply with the regulations of the UM 's diving manual may be cause for the revocation or restriction of the diver's scientific diving certificate by action of the UM 's Diving Control Board.

1.30 CONSEQUENCES OF VIOLATION OF REGULATIONS BY UM

Failure to comply with the regulations of this standard may be cause for the revocation or restriction of the UM's recognition by the AAUS.

1.40 RECORD MAINTENANCE

The Diving Safety Officer or his/her designee shall maintain permanent records for each individual scientific diver certified. The file shall include evidence of certification level, log sheets, results of current physical examination, waiver, reports of disciplinary actions by the UM Diving Control Board, and other pertinent information deemed necessary.

1.40.1 Availability of Records:

1.40.1.1 Medical records shall be available to the attending physician of a diver or former diver when released in writing by the diver.

1.40.1.2 Records and documents required by this standard shall be retained by the UM for the following period:

1.40.1.2.1 Physician's written reports of medical examinations for dive team members - 5 years.

1.40.1.2.2 Manual for diving safety - current document only.

1.40.1.2.3 Records of dive - 1 year, except 5 years where there has been an incident of pressure-related injury.

1.40.1.2.4 Pressure-related injury assessment - 5 years.

1.40.1.2.5 Equipment inspection and testing records - current entry or tag, or until equipment is withdrawn from service.

SECTION 2.00

DIVING REGULATIONS FOR SCUBA (OPEN CIRCUIT, COMPRESSED AIR)

2.10 INTRODUCTION

No person shall engage in scientific diving operations under the auspices of the member's organizational scientific diving program unless he/she holds a current certification issued pursuant to the provisions of this manual. Each diver shall carry supplemental diving insurance from DAN of equivalent dive insurance.

2.20 PRE-DIVE PROCEDURES

2.21 Dive Plans

Dives should be planned around the competency of the least experienced diver. Before conducting any diving operations under the auspices of the UM, the lead diver for a proposed operation must formulate a dive plan which should include the following:

2.21.1 Divers qualifications, and the type of certificate or certification held by each diver.

2.21.2 Emergency plan (see Appendix 10) with the following information:

2.21.2.1 Name, telephone number, and relationship of person to be contacted for each diver in the event of an emergency.

2.21.2.2 nearest operational recompression chamber

2.21.2.3 nearest accessible hospital

2.21.2.4 available means of transport

2.21.3 Approximate number of proposed dives.

2.21.4 Location(s) of proposed dives.

2.21.5 Estimated depth(s) and bottom time(s) anticipated.

2.21.6 Decompression status and repetitive dive plans, if required.

2.21.7 Proposed work, equipment, and boats to be employed.

2.21.8 Any hazardous conditions anticipated.

2.21.9 Diving under the auspices of UM, a Dive Monitor shall be named on the dive plan. The dive monitor will be responsible to contact proper authorities if divers do not report back from a dive as listed in the dive plan The notification shall occur when divers are more than 60 minutes late.

Upon returning to the dock or beach at the conclusion of the days diving. The lead diver shall notify the dive monitor that all divers have returned. Under no circumstance shall any other dive be performed that day, unless a new dive plan has been approved

The lead diver will also be responsible for submitting the float plan attached to the dive plan appendix

2.21.10 Pre-dive Safety Checks

2.22.1 Diver's Responsibility:

2.22.1.1 Each scientific diver shall conduct a functional check of his/her diving equipment in the presence of the diving buddy or tender.

2.22.1.2 It is the diver's responsibility and duty to refuse to dive if, in his/her judgement, conditions are unfavorable, or if he/she would be violating the precepts of his/her training, of this manual, or the UM 's diving manual.

2.22.1.3 No dive team member shall be required to be exposed to hyperbaric conditions against his/her will, except when necessary to prevent or treat a pressure-related injury.

2.22.1.4 No dive team member shall be permitted to dive for the duration of any known condition which is likely to adversely affect the safety and health of the diver or other dive members.

2.22.2 Equipment Evaluations

2.22.2.1 Each diver shall ensure that his/her equipment is in proper working order and that the equipment is suitable for the type of diving operation.

2.22.2.2 Each diver shall have the capability of achieving and maintaining positive buoyancy.

2.22.3 Site Evaluation
The environmental conditions at the site will be evaluated.

2.30 DIVING PROCEDURES

2.31 Solo Diving Prohibition

All diving activities shall assure adherence to the buddy system (Two comparably equipped scuba divers in the water in constant communication) for scuba diving. This buddy system is based upon mutual assistance, especially in the case of an emergency.

2.32 Refusal to Dive

2.32.1 The decision to dive is that of the diver. A diver may refuse to dive, without fear of penalty, whenever he/she feels it is unsafe for them to make the dive (see Sec. 2.22 #1.).

2.32.2 Safety - The ultimate responsibility for safety rests with the individual diver. It is the diver's responsibility and duty to refuse to dive if, in his/her judgement, conditions are unsafe or unfavorable, or if he/she would be violating the precepts of his/her training or the regulations in this manual.

2.33 Termination of the Dive

2.33.1 It is the responsibility of the diver to terminate the dive, without fear of penalty, whenever he/she feels it is unsafe to continue the dive, unless it compromises the safety of another diver already in the water (see Sec. 2.22 #1.).

2.33.2 The dive shall be terminated while there is still sufficient cylinder pressure to permit the diver to safely reach the surface, including decompression time, or to safely reach an additional air source at the decompression station.

2.34 Emergencies and Deviations from Regulations

Any diver may deviate from the requirements of this manual to the extent necessary to prevent or minimize a situation which is likely to cause death, serious physical harm, or major environmental damage. A written report of such actions must be submitted to the Diving Control Board explaining the circumstances and justifications.

2.40 POST-DIVE PROCEDURES

2.41 Post-Dive Safety Checks

2.41.1 After the completion of a dive, each diver shall report any physical problems, symptoms of decompression sickness, or equipment malfunctions.

2.41.2 When diving outside the no-decompression limits, the divers should remain awake for at least one hour after diving, and in the company of a dive team member who is prepared to transport him/her to a hyperbaric chamber if necessary.

2.50 EMERGENCY PROCEDURES

The UM will develop emergency procedures which follow the standards of care of the community and must include procedures for emergency care, recompression and evacuation for each dive location (See Appendix 10).

2.60 FLYING AFTER DIVING OR ASCENDING TO ALTITUDE (OVER 1000 FEET)

Following a Single No-Decompression Dive: Divers should have a minimum preflight surface interval of 12 hours.

Following Multiple Dives per Day or Multiple Days of Diving: Divers should have a minimum preflight surface interval of 18 hours.

Following Dives Requiring Decompression Stops: Divers should have a minimum preflight surface interval of 24 hours.

Before ascending to Altitude above (1000 feet) by Land Transport: Divers should follow the appropriate guideline for preflight surface intervals unless the decompression procedure used has accounted for the increase in elevation

2.70 RECORDKEEPING AND REQUIREMENTS

2.71 Personal Diving Log

Each certified scientific diver shall log every dive made under the auspices of the UM's program, and is encouraged to log all other dives. Standard forms will be provided by each membership organization. Log sheets shall be submitted to the Diving Safety Officer to be placed in the diver's permanent file. Details of the submission procedures are left to the discretion of the Diving Safety Officer. The diving log shall be in a form specified by the organization and shall include at least the following:

2.71.1 Name of diver, partner, and Lead Diver.

2.71.2 Date, time, and location.

2.71.3 Diving modes used.

2.71.4 General nature of diving activities.

2.71.5 Approximate surface and underwater conditions.

2.71.6 Maximum depths, bottom time and surface interval time.

2.71.7 Diving tables or computers used.

2.71.8 Detailed report of any near or actual incidents.

2.72 Required Incident Reporting

All diving incidents requiring recompression treatment, or resulting in moderate or serious injury, or death shall be reported to the UM 's Diving Control Board and the AAUS. The UM 's regular procedures for incident reporting, including those required by the AAUS, shall be followed. The report will specify the circumstances of the incident and the extent of any injuries or illnesses. Additional information must meet the following reporting requirements:

2.72.1 The UM shall record and report occupational injuries and illnesses in accordance with requirements of the appropriate Labor Code section.

2.72.2 If pressure-related injuries are suspected, or if symptoms are evident, the following additional information shall be recorded and retained by the UM, with the record of the dive, for a period of 5 years:

2.72.2.1 Complete AAUS Incident Report Form *at www.aaus.org*

2.72.2.2 Written descriptive report to include:

2.72.2.2.1 Name, address, phone numbers of the principal parties involved.

2.72.2.2.2 Summary of experience of divers involved.

2.72.2.2.3 Location, description of dive site and description of conditions that led up to incident.

2.72.2.2.4 Description of symptoms, including depth and time of onset.

2.72.2.2.5 Description and results of treatment.

2.72.2.2.6 Disposition of case.

2.72.2.2.7 Recommendations to avoid repetition of incident.

2.72.3 The UM shall investigate and document any incident of pressure-related injury and prepare a report which is to be forwarded to the AAUS during the annual reporting cycle. This report must first be reviewed and released by the UM' s Diving Control Board.

SECTION 3.00

DIVING EQUIPMENT

3.10 GENERAL POLICY

3.10.1 All equipment shall meet standards as determined by the Diving Safety Officer and the Diving Control Board. Equipment that is subjected to extreme usage under adverse conditions should require more frequent testing and maintenance.

3.10.2 All equipment shall be regularly examined by the person using them.

3.20 EQUIPMENT

3.21 Regulators

3.21.1 Approval. Only those makes and models specifically approved by the Diving Safety Officer and the Diving Control Board shall be used.

3.21.2 Inspection and testing. Scuba regulators shall be inspected and tested prior to first use and every twelve months thereafter.

3.21.3 Regulators will consist of a primary second stage and an alternate air source (such as an octopus second stage or redundant air supply).

3.22 Breathing Masks and Helmets
Breathing masks and helmets shall have:

3.22.1 A non-return valve at the attachment point between helmet or mask and hose, which shall close readily and positively.

3.22.2 An exhaust valve.

3.22.3 A minimum ventilation rate capable of maintaining the diver at the depth to which he/she is diving.

3.23 Scuba Cylinders

3.23.1 Scuba cylinders shall be designed, constructed, and maintained in accordance with the applicable provisions of the Unfired Pressure Vessel Safety Orders.

3.23.2 Scuba cylinders must be hydrostatically tested in accordance with DOT standards.

3.23.3 Scuba cylinders must have an internal inspection at intervals not to exceed twelve months.

Aluminum Cylinders shall be inspected using Visual Plus or equivalent method of electronic inspection.

3.23.4 Scuba cylinder valves shall be functionally tested at intervals not to exceed twelve months.

3.24 Backpacks

Backpacks without integrated flotation devices and weight systems shall have a quick release device designed to permit jettisoning with a single motion from either hand.

3.25 Gauges

Gauges shall be inspected and tested before first use and every twelve months thereafter.

3.26 Flotation Devices

3.26.1 Each diver shall have the capability of achieving and maintaining positive buoyancy.

3.26.2 Personal flotation systems, buoyancy compensators, dry suits, or other variable volume buoyancy compensation devices shall be equipped with an exhaust valve.

3.26.3 These devices shall be functionally inspected and tested at intervals not to exceed twelve months.

3.27 Timing Devices, Depth and Pressure Gauges

Both members of the diving pair must have an underwater timing device, an approved depth indicator, and a submersible pressure gauge.

3.28 Determination of Decompression Status: Dive Tables, Dive Computers

3.28.1 A set of diving tables, approved by the Diving Control Board, must be available at the dive location.

3.28.2 Dive computers may be utilized in place of diving tables, and must be approved by the Diving Control Board.

3.30 AUXILIARY EQUIPMENT

3.31 Hand held underwater power tools.

Electrical tools and equipment used underwater shall be specifically approved for this purpose. Electrical tools and equipment supplied with power from the surface shall be de-energized before being placed into or retrieved from the water. Hand held power tools shall not be supplied with power from the dive location until requested by the diver.

3.40 SUPPORT EQUIPMENT

3.41 First aid supplies.
A first aid kit and emergency oxygen shall be available.

3.42 Diver's Flag
A diver’s flag shall be displayed prominently for the duration of all dives and according to state and local regulations. It is the responsibility of the Lead Diver to ensure that divers stay within the appropriate distance from the dive flag for the duration of the diving activity. In international waters, the “alpha” flag will be displayed in addition to the “diver down” dive flag.

3.43 Compressor Systems - UM Controlled
The following will be considered in design and location of compressor systems:

3.43.1 Low pressure compressors used to supply air to the diver if equipped with a volume tank shall have a check valve on the inlet side, a relief valve, and a drain valve.

3.43.2 Compressed air systems over 500 psig shall have slow-opening shut-off valves.

3.43.3 All air compressor intakes shall be located away from areas containing exhaust or other contaminants.

3.50 EQUIPMENT MAINTENANCE

3.51 Recordkeeping
Each equipment modification, repair, test, calibration, or maintenance service shall be logged, including the date and nature of work performed, serial number of the item, and the name of the person performing the work for the following equipment:

3.51.1. Regulators

3.51.2 Submersible pressure gauges

3.51.3 Depth gauges

3.51.4 Scuba cylinders

3.51.5 Cylinder valves

3.51.6 Diving helmets

3.51.7 Submersible breathing masks

3.51.8 Compressors

3.51.9 Gas control panels

3.51.10 Air storage cylinders

3.51.11 Air filtration systems

3.51.12 Analytical instruments

3.51.13 Buoyancy control devices

3.51.14 Dry suits

3.52 Compressor Operation and Air Test Records

3.52.1 Gas analyses and air tests shall be performed on each UM-controlled breathing air compressor at regular intervals of no more than 100 hours of operation or six months, whichever occurs first. The results of these tests shall be entered in a formal log and be maintained.

3.52.2 A log shall be maintained showing operation, repair, overhaul, filter maintenance, and temperature adjustment for each compressor.

3.60 AIR QUALITY STANDARDS

Breathing air for scuba shall meet the following specifications as set forth by the Compressed Gas Association (CGA Pamphlet G-7.1) and referenced in OSHA 29 CFR 1910.134

CGA Grade E

Component	**Maximum**
Oxygen	20 - 22%/v
Carbon Monoxide	10 PPM/v
Carbon Dioxide	500 PPM/v
Condensed Hydrocarbons	5 mg/m3
Water Vapor	NS
Objectionable Odors	None

SECTION 4.00

ENTRY-LEVEL TRAINING REQUIREMENTS

This section describes training for the non-diver applicant, previously not certified for diving, and equivalency for the certified diver.

4.10 EVALUATION

4.11 Medical Examination

The applicant for training shall be certified by a licensed physician to be medically qualified for diving before proceeding with the training as designated in Sec. 4.20 (see Sec. 6.00 and Appendices 1 through 6).

4.12 Swimming Evaluation

The applicant for training shall successfully perform the following tests, or their equivalent, in the presence of the Diving Safety Officer, or an examiner approved by the Diving Safety Officer.

4.12.1 Swim underwater without swim aids for a distance of 25 yards without surfacing.

4.12.2 Swim 400 yards in less than 12 minutes without swim aids.

4.12.3 Tread water for 10 minutes, or 2 minutes without the use of hands, without swim aids.

4.12.4 Without the use of swim aids, transport another person of equal size a distance of 25 yards in the water.

4.20 SCUBA TRAINING

4.21 Practical Training

At the completion of training, the trainee must satisfy the Diving Safety Officer or the instructor of his/her ability to perform the following, as a minimum, in a pool or in sheltered water:

4.21.1 Enter water with full equipment.

4.21.2 Clear face mask.

4.21.3 Demonstrate air sharing, including both buddy breathing and the use of alternate air source, as both donor and recipient, with and without a face mask.

4.21.4 Demonstrate ability to alternate between snorkel and scuba while kicking.

4.21.5 Demonstrate understanding of underwater signs and signals.

4.21.6 Demonstrate simulated in-water mouth-to-mouth resuscitation.

4.21.7 Rescue and transport, as a diver, a passive simulated victim of an accident.

4.21.8 Demonstrate ability to remove and replace equipment while submerged.

4.21.9 Demonstrate water man ship ability which is acceptable to the instructor.

4.22 Written Examination

Before completing training, the trainee must pass a written examination that demonstrates knowledge of at least the following:

4.22.1 Function, care, use, and maintenance of diving equipment.

4.22.2 Physics and physiology of diving.

4.22.3 Diving regulations and precautions.

4.22.4 Near-shore currents and waves.

4.22.5 Dangerous marine animals.

4.22.6 Emergency procedures, including buoyant ascent and ascent by air sharing.

4.22.7 Currently accepted decompression procedures.

4.22.8 Demonstrate the proper use of dive tables.

4.22.9 Underwater communications.

4.22.10 Aspects of freshwater and altitude diving.

4.22.11 Hazards of breath-hold diving and ascents.

4.22.12 Planning and supervision of diving operations.

4.22.13 Diving hazards.

4.22.14 Cause, symptoms, treatment, and prevention of the following: near drowning, air embolism, carbon dioxide excess, squeezes, oxygen poisoning, nitrogen narcosis, exhaustion and panic, respiratory fatigue, motion sickness, decompression sickness, hypothermia, and hypoxia/anoxia.

4.23 Open Water Evaluation

The trainee must satisfy an instructor, approved by the Diving Safety Officer, of his/her ability to perform at least the following in open water:

4.23.1 Surface dive to a depth of 10 feet in open water without scuba.

4.23.2 Demonstrate proficiency in air sharing, including both buddy breathing and the use of alternate air source, as both donor and receiver.

4.23.3 Enter and leave open water or surf, or leave and board a diving vessel, while wearing scuba gear.

4.23.4 Kick on the surface 400 yards while wearing scuba gear, but not breathing from the scuba unit.

4.23.5 Demonstrate judgement adequate for safe diving.

4.23.6 Demonstrate, where appropriate, the ability to maneuver efficiently in the environment, at and below the surface.

4.23.7 Complete a simulated emergency swimming ascent.

4.23.8 Demonstrate clearing of mask and regulator while submerged.

4.23.9 Demonstrate ability to achieve and maintain neutral buoyancy while submerged.

4.23.10 Demonstrate techniques of self-rescue and buddy rescue.

4.23.11 Navigate underwater.

4.23.12 Plan and execute a dive.

4.23.13 Successfully complete 5 open water dives for a minimum total time of 3 hours, of which 1-1/2 hours cumulative bottom time must be on scuba. No more than 3 training dives shall be made in any one day.

SECTION 5.00

SCIENTIFIC DIVER CERTIFICATION

5.10 CERTIFICATION TYPES

5.10.1 Scientific Diver Certification.
This is a permit to dive, usable only while it is current and for the purpose intended.

5.10.2 Temporary Diver Permit.
This permit constitutes a waiver of the requirements of Sec. 5.00 and is issued only following a demonstration of the required proficiency in diving. It is valid only for a limited time, as determined by the Diving Safety Officer. This permit is not to be construed as a mechanism to circumvent existing standards set forth in this manual.

Requirements of Sec. 5.31 and 5.32 may be waived by the Diving Safety Officer if the person in question has demonstrated proficiency in diving and can contribute measurably to a planned dive. A statement of the temporary diver's qualifications shall be submitted to the Diving Safety Officer as a part of the dive plan. Temporary permits shall be restricted to the planned diving operation and shall comply with all other policies, regulations, and standards of this manual, including medical requirements.

5.20 GENERAL POLICY
The AAUS requires that no person shall engage in scientific diving unless that person is authorized by an UM pursuant to the provisions of this manual. The following are considered minimal standards for a scientific diver certification.

5.21 Prerequisites
Diver-In-Training Permit
This permit signifies that a diver has completed and been certified as at least an open water diver through a nationally or internationally recognized certifying agency, scientific diving program, or its equivalent (Section 4.00).

5.22 Eligibility
Only a person diving under the auspices of the UM that subscribes to the practices of the AAUS is eligible for a scientific diver certification.

5.23 Application
Application for certification shall be made to the Diving Safety Officer on the form prescribed by the UM.

5.24 Medical Examination
Each applicant for diver certification shall submit a statement from a licensed physician, based on an approved medical examination, attesting to the applicant's fitness for diving (see Sec. 6.00 and Appendices 1-6).
5.30 REQUIREMENTS FOR SCIENTIFIC DIVER CERTIFICATION

Submission of documents and participation in aptitude examinations does not automatically result in certification. The applicant must convince the Diving Safety Officer and members of the DCB that he/she is sufficiently skilled and proficient to be certified. This skill will be acknowledged by the signature of the Diving Safety Officer. Any applicant who does not possess the necessary judgment, under diving conditions, for the safety of the diver and his/her partner, may be denied UM scientific diving privileges. Minimum documentation and examinations required are as follows:

5.31 Documents

5.31.1 Application for certification.

5.31.2 Medical approval.

5.31.3 Proof of diver-in-training permit level or its equivalent.

5.31.4 Emergency Care Training:
The diver or diver-in-training must provide proof of current training in the following:

a. cardiopulmonary resuscitation (CPR)
b. standard or basic first aid (details on training and curriculum are found in OSHA CPL 2-2.53 CFR1910.151)
c. emergency oxygen administration

5.32 Training
Theoretical and Practical Training
The diver must complete theoretical aspects and practical training for a minimum cumulative time of 100 hours. Theoretical aspects shall include principles and activities appropriate to the intended area of scientific study.

Required Topics (include, but not limited to):

Diving Emergency Care Training

- Cardiopulmonary resuscitation (CPR)
- Standard or basic first aid
- Recognition of DCS and AGE
- Accident management
- Field neurological exam
- Oxygen administration

Dive Rescue

Dive Physics

Dive Physiology

Dive Environments

Decompression Theory and its Application

AAUS Scientific Diving Regulations and History

- Scientific Dive Planning
- Coordination with other Agencies
- Appropriate Governmental Regulations

Scientific Method
Data Gathering Techniques (only items specific to area of study are required)

- Transect Sampling (Quadrating)
- Transecting
- Mapping
- Coring
- Photography
- Tagging
- Collecting
- Animal Handling
- Archaeology
- Common biota
    - Organism identification
    - Behavior
    - Ecology
- Site selection, location, and re-location
- Specialized equipment for data gathering
- Hazardous material (HazMat) training
- High pressure cylinders
- Chemical Hygiene, Laboratory Safety (Use Of Chemicals)

Suggested Topics (include, but not limited to):

Specific Dive Modes (methods of gas delivery)

- Open Circuit

- Hooka
- Surface Supplied diving

Small Boat Operation

Rebreathers

- Closed
- Semi-closed

Specialized Breathing Gas

- Nitrox
- Mixed Gas

Specialized Environments and Conditions

- Blue Water Diving,
- Ice and Polar Diving (Cold Water Diving)
- Zero Visibility Diving
- Polluted Water Diving,
- Saturation Diving
- Decompression Diving
- Overhead Environments
- Aquarium Diving
- Night Diving
- Kelp Diving
- Strong Current Diving (Live-boating)
- Potential Entanglement

Specialized Diving Equipment

- Full face mask
- Dry Suit

Communications

5.32.2 Practical training shall include at least 12 supervised ocean or open water dives in a variety of dive sites and diving conditions, for a cumulative bottom time of 6 hours. No more than 3 of these dives shall be made in one day.

5.33 Examinations

5.33.1 Written examination for the certificate level.

5.33.2 Examination of equipment.

5.33.3 Open water check-out dives to appropriate depths with evaluation of the skills in Sec. 4.23 and Appendix 9

5.40 DEPTH CERTIFICATIONS

Diving is not permitted beyond a depth of 190 feet.

5.41 Depth Certification Levels

5.41.1 Certification to 30 Foot Depth

This is the initial permit level, approved upon the successful completion of training listed in Sec. 4.00 and 5.30.

5.41.2 Certification to 60 Foot Depth

A diver holding a 30 foot certificate may be certified to a depth of 60 feet after successfully completing, under supervision, 12 logged training dives to depths between 31 and 60 feet, for a minimum total time of 4 hours.

5.41.3 Certification to 100 and 130 Foot Depths

A diver holding a 60 foot certificate may be certified to depths of 100 and 130 feet respectively, by logging four dives near the maximum depth category. These qualification dives shall be validated by the signature of two authorized individuals who are divers certified to at least the same depth. The diver shall also demonstrate proficiency in the use of the appropriate Decompression Tables.

5.41.4 Certification to Depths Over 130 Feet

A diver may be certified to depths of 150 and 190 feet after the completion of four dives near each depth. Dives shall be planned and executed under close supervision of a diver certified to this depth. The diver must also demonstrate a knowledge of the special problems of deep diving, and of special safety requirements.

5.42 Progression To Next Depth Level

A certified diver diving under the auspices of the UM may exceed his/her depth certification only if accompanied by a diver certified to a greater depth. Under these circumstances the diver may exceed his/her depth limit by one step.

5.50 CONTINUATION OF CERTIFICATE

5.51 Minimum Activity to Maintain Certification

During any 12 month period, each certified scientific diver must log a minimum of 12 dives. At least one dive must be logged near the maximum depth of the diver's certification during each 6 month period. Divers certified to 150 feet or deeper may satisfy these requirements with dives to 130 feet or over. Failure to meet these requirements may be cause for revocation or restriction of certification.

5.52 Re-qualification of Depth Certificate
Once the initial certification requirements of Sec. 5.31 - 5.34 are met, divers whose depth certification has lapsed due to lack of activity may be requalified by procedures adopted by the organization's DCB.

5.53 Medical Examination
All certified scientific divers shall pass a medical examination at the intervals specified in Section 6.12. After each major illness or injury, as described in Sec. 6.12, a certified scientific diver shall receive clearance to return to diving from a physician before resuming diving activities.

5.54 Emergency Care Training.
The scientific diver must provide proof of training in the following:

Adult CPR (must be current).
Emergency oxygen administration (must be current)
First aid for diving accidents (must be current)

5.60 REVOCATION OF CERTIFICATION
A diving certificate may be revoked or restricted for cause by the Diving Safety Officer or the DCB. Violations of regulations set forth in this manual, or other governmental subdivisions not in conflict with this manual, may be considered cause. The Diving Safety Officer shall inform the diver in writing of the reason(s) for revocation. The diver will be given the opportunity to present his/her case in writing for reconsideration and/or re-certification. All such written statements and requests, as identified in this section, are formal documents which will become part of the diver's file.

5.70 RECERTIFICATION
If a diver's certificate expires or is revoked, he/she may be re-certified after complying with such conditions as the Diving Safety Officer or the DCB may impose. The diver shall be given an opportunity to present his/her case to the DCB before conditions for re-certification are stipulated.

SECTION 6.00

MEDICAL STANDARDS

6.10 MEDICAL REQUIREMENTS

6.11 General

6.11.1 The UM shall determine that divers have passed a current diving physical examination and have been declared by the examining physician to be fit to engage in diving activities as may be limited or restricted in the medical evaluation report.

6.11.2 All medical evaluations required by this standard shall be performed by, or under the direction of, a licensed physician of the applicant-diver's choice, preferably one trained in diving/undersea medicine.

6.11.3 The diver should be free of any chronic disabling disease and be free of any conditions contained in the list of conditions for which restrictions from diving are generally recommended. (Appendix 1)

6.12 Frequency of Medical Evaluations

Medical evaluation shall be completed:

6.12.1 before a diver may begin diving, unless an equivalent initial medical evaluation has been given within the preceding 5 years (3 years if over the age of 40, 2 years if over the age of 60), the member organization has obtained the results of that examination, and those results have been reviewed and found satisfactory by the member organization.

6.12.2 thereafter, at five year intervals up to age 40, every three years after the age of 40, and every two years after the age of 60

6.12.3 Clearance to return to diving must be obtained from a physician following any major injury or illness, or any condition requiring hospital care. If the injury or illness is pressure related, then the clearance to return to diving must come from a physician trained in diving medicine.

6.13. Information Provided Examining Physician

The UM shall provide a copy of the medical evaluation requirements of this standard to the examining physician. (Appendices 1, 2, and 3).

6.14 Content of Medical Evaluations

Medical examinations conducted initially and at the intervals specified in section 6.12 shall consist of the following:

6.14.1 Applicant agreement for release of medical information to the Diving Safety Officer and the DCB (See Appendix 2).

6.14.2 Medical history (See Appendix 3)

6.14.3 Diving physical examination (Section 6.15 and Appendix 2).

6.14.4 Conditions Which May Disqualify Candidates From Diving (Adapted from Bove, 1998)

1. *Abnormalities of the tympanic membrane, such as perforation, presence of a monomeric membrane, or inability to autoinflate the middle ears.*
2. *Vertigo including Meniere's Disease.*
3. *Stapedectomy or middle ear reconstructive surgery.*
4. *Recent ocular surgery.*
5. *Psychiatric disorders including claustrophobia, suicidal ideation, psychosis, anxiety states, untreated depression.*
6. *Substance abuse, including alcohol.*
7. *Episodic loss of consciousness.*
8. *History of seizure.*
9. *History of stroke or a fixed neurological deficit.*
10. *Recurring neurologic disorders, including transient ischemic attacks.*
11. *History of intracranial aneurysm, other vascular malformation or intracranial hemorrhage.*
12. *History of neurological decompression illness with residual deficit.*
13. *Head injury with sequelae.*
14. *Hematologic disorders including coagulopathies.*
15. *Evidence of coronary artery disease or high risk for coronary artery disease.*
16. *Atrial septal defects.*
17. *Significant valvular heart disease - isolated mitral valve prolapse is not disqualifying.*
18. *Significant cardiac rhythm or conduction abnormalities.*
19. *Implanted cardiac pacemakers and cardiac defibrillators (ICD).*
20. *Inadequate exercise tolerance.*
21. *Severe hypertension.*
22. *History of spontaneous or traumatic pneumothorax.*
23. *Asthma.*
24. *Chronic pulmonary disease, including radiographic evidence of pulmonary blebs, bullae or cysts.*
25. *Diabetes mellitus.*
26. *Pregnancy.*

6.16 Laboratory Requirements for Diving Medical Evaluation and Intervals.

6.16.1 Initial examination under age 40:

- Medical History
- Complete Physical Exam, emphasis on neurological and otological components
- Chest X-ray
- Spirometry
- Hematocrit or Hemoglobin
- Urinalysis
- Any further tests deemed necessary by the physician.

6.16.2 Periodic re-examination under age 40 (every 5 years)

- Medical History
- Complete Physical Exam, emphasis on neurological and otological components
- Hematocrit or Hemoglobin
- Urinalysis
- Any further tests deemed necessary by the physician

6.16.3 Initial exam over age 40:

- Medical History
- Complete Physical Exam, emphasis on neurological and otological components
- Assessment of coronary artery disease risk factors including lipid profile and diabetic screening
- Resting EKG
- Chest X-ray
- Spirometry
- Urinalysis
- Hematocrit or Hemoglobin
- Any further tests deemed necessary by the physician
  * Exercise stress testing may be indicated based on risk factor analysis

6.16.4 Periodic re-examination over age 40 (every 3 years); over age 60 (every two years):

- Medical History
- Complete Physical Exam, emphasis on neurological and otological components
- Assessment of coronary artery disease risk factors including lipid profile and diabetic screening
- Resting EKG
- Urinalysis
- Hematocrit or Hemoglobin
- Any further tests deemed necessary by the physician
  * Exercise stress testing may be indicated based on risk factor analysis

6.17 Physician's Written Report.

6.17.1 After any medical examination relating to the individual’s fitness to dive, the UM shall obtain a written report prepared by the examining physician, which shall contain the examining physician's opinion of the individual's fitness to dive, including any recommended restrictions or limitations. This will be reviewed by the DCB.

6.17.2 The UM shall make a copy of the physician's written report available to the individual.

VOLUME 2

Sections 7.00 through 12.00
Required Only When Conducting Described Diving Activities

SECTION 7

NITROX DIVING GUIDELINES

The following guidelines address the use of NITROX by scientific divers under the auspices of the AAUS at the UM. NITROX is defined for these guidelines as breathing mixtures composed predominately of nitrogen and oxygen, most commonly produced by the addition of oxygen or the removal of nitrogen from air. These guidelines are supplemental to those specified in the AAUS "Standards for Scientific Diving Certification and Operation of Scientific Diving Programs" manual (hereinafter referred to as the "AAUS Standards")

7.10 PREREQUISITES

7.11 Eligibility

Only a certified Scientific Diver or Scientific Diver In Training (see AAUS Standards Sec.4.00 and 5.00) diving under the auspices of a member organization is eligible for authorization to use NITROX. After completion, review and acceptance of application materials, training and qualification as per Sec. 7.1.2 of these guidelines, an applicant will be authorized to use NITROX within his/her depth authorization, as specified in AAUS Standards Sec 5.40.

7.12 Application and documentation

Application and documentation for authorization to use NITROX should be made on forms specified by the Diving Control Board.

7.20 REQUIREMENTS FOR AUTHORIZATION TO USE NITROX

Submission of documents and participation in aptitude examinations does not automatically result in authorization to use NITROX. The applicant must convince the DSO and members of the DCB that he/she is sufficiently skilled and proficient. Authorization will be acknowledged by the signature of the DSO on the authorization form. After completion of training and evaluation, authorization to use NITROX may be denied to any diver who does not demonstrate to the satisfaction of the DSO or DCB the appropriate judgment or proficiency to ensure the safety of the diver and dive buddy.

Prior to authorization to use NITROX, the following minimum requirements should be met::

7.21 Training

The diver must complete additional theoretical and practical training beyond the Scientific Diver In Training air certification level, to the satisfaction of the member organizations DSO and DCB (see Section 7.6.1.2.00).

7.22 Examinations
Each diver should demonstrate proficiency in skills and theory in written, oral, and practical examinations covering:

7.22.1. Written examinations covering the information presented in the classroom training session(s) (i.e., gas theory, oxygen toxicity, partial pressure determination, etc. ...);

7.22.2. Practical examinations covering the information presented in the practical training session(s) (i.e., gas analysis, documentation procedures, etc. ...);

7.22.3. Open water checkout dives, to appropriate depths, to demonstrate the application of theoretical and practical skills learned.

7.23 Minimum Activity to Maintain Authorization
The diver should log at least one (1) NITROX dive per year. Failure to meet the minimum activity level may be cause for restriction or revocation of NITROX authorization.

7.30 NITROX TRAINING GUIDELINES
Training in these guidelines should be in addition to training for Diver In Training authorization (AAUS Standards Sec. 4.00). It may be included as part of training to satisfy the Scientific Diver training requirements (AAUS Standards Sec. 5.32).

7.31 Classroom Instruction

7.31.1. Topics should include, but are not limited to: review of previous training; physical gas laws pertaining to NITROX; partial pressure calculations and limits; equivalent air depth (EAD) concept and calculations; oxygen physiology and oxygen toxicity; calculation of oxygen exposure and maximum safe operating depth (MOD); determination of decompression schedules (both by EAD method using approved air dive tables, and using approved NITROX dive tables); dive planning and emergency procedures; mixing procedures and calculations; gas analysis; personnel requirements; equipment marking and maintenance requirements; dive station requirements.

7.31.2. The DCB may choose to limit standard NITROX diver training to procedures applicable to diving, and subsequently reserve training such as NITROX production methods, oxygen cleaning, and dive station topics to divers requiring specialized authorization in these areas.

7.32 Practical Training
The practical training portion will consist of a review of skills as stated for scuba (AAUS Standards Sec. 4.00), with additional training as follows:

7.32.1. Oxygen analysis of NITROX mixtures;

7.32.2. Determination of MOD, oxygen partial pressure exposure, and oxygen toxicity time limits, for various NITROX mixtures at various depths;

7.32.3. Determination of nitrogen-based dive limits status by EAD method using air dive tables, and/or using NITROX dive tables, as approved by the DCB;

7.32.4. NITROX dive computer use may be included, as approved by the DCB.

7.33 Written Examination (based on classroom instruction and practical training)
Before authorization, the trainee should successfully pass a written examination demonstrating knowledge of at least the following:

7.33.1. Function, care, use, and maintenance of equipment cleaned for NITROX use;

7.33.2. Physical and physiological considerations of NITROX diving (ex.: O2 and CO2 toxicity);

7.33.3. Diving regulations and procedures as related to NITROX diving, either scuba or surface-supplied (depending on intended mode);

7.33.4. Given the proper information, calculation of:

7.33.4.1 Equivalent air depth (EAD) for a given fO2 and actual depth;

7.33.4.2 pO2 exposure for a given fO2 and depth;

7.33.4.3 Optimal NITROX mixture for a given pO2 exposure limit and planned depth;

7.33.4.4 Maximum operational depth (MOD) for a given mix and pO2 exposure limit;

7.33.4.5 For NITROX production purposes, percentages/psi of oxygen present in a given mixture, and psi of each gas required to produce a fO2 by partial pressure mixing.

7.33.5. Decompression table and dive computer selection and usage;

7.33.6. NITROX production methods and considerations;

7.33.7. Oxygen analysis;

7.33.8. NITROX operational guidelines (Section 7.30), dive planning, and dive station components.

7.34 Open water Dives

A minimum of two supervised open water dives using NITROX should be required for authorization. The mode used in the dives should correspond to the intended application (i.e., scuba or surface-supplied). If the MOD for the mix being used can be exceeded at the training location, direct, in-water supervision is required.

7.35 Surface-Supplied Training

All training as applied to surface-supplied diving (practical, classroom, and open water) will follow the member organization's surface-supplied diving standards, including additions listed in Sec. 7.21 and 7.22.

7.40. SCIENTIFIC NITROX DIVING REGULATIONS

7.41 Dive Personnel Requirements

7.41.1 NITROX Diver In Training

A Diver In Training, who has completed the requirements of AAUS Standards Section 4.00 and the training and authorization sections of these guidelines, may be authorized by the DSO to use NITROX under the direct supervision a Scientific Diver who also holds NITROX authorization. Dive depths should be restricted to those specified in the diver's authorization.

7.41.2 Scientific Diver

A Scientific Diver who has completed the requirements of AAUS Standards Section 5.00 and the training and authorization sections of these guidelines, may be authorized by the DSO to use NITROX. Depth authorization to use NITROX should be the same as those specified in the diver's authorization, as described in AAUS Sec. 5.40.

7.41.3 Lead Diver

On any dive during which NITROX will be used by any team member, the Lead Diver should be authorized to use NITROX, and hold appropriate authorizations required for the dive, as specified in AAUS Standards. Lead Diver authorization for NITROX dives by the DSO and/or DCB should occur as part of the dive plan approval process.

In addition to responsibilities listed in AAUS Section 1.26, the Lead diver should:

7.41.3.1. As part of the dive planning process, verify that all divers using NITROX on a dive are properly qualified and authorized;

7.41.3.2. As part of the pre-dive procedures, confirm with each diver the NITROX mixture the diver is using, and establish dive team maximum depth and time limits, according to the shortest time limit or shallowest depth limit among the team members.

7.41.3.3. The Lead Diver should also reduce the maximum allowable pO2 exposure limit for the dive team if on-site conditions so indicate (see Sec. 7.32.1.2)

7.42 Dive Parameters

7.42.1 Oxygen Exposure Limits

7.42.1.1. The inspired oxygen partial pressure experienced at depth should not exceed 1.6 ATA. All dives performed using NITROX breathing mixtures should comply with the current NOAA Diving Manual "Oxygen Partial Pressure Limits for 'Normal' Exposures"

7.42.1.2. The maximum allowable exposure limit should be reduced in cases where cold or strenuous dive conditions, or extended exposure times are expected. The DCB should consider this in the review of any dive plan application which proposes to use NITROX. The Lead Diver should also review on-site conditions and reduce the allowable pO2 exposure limits if conditions indicate.

7.42.1.3. If using the equivalent air depth (EAD) method the maximum depth of a dive should be based on the oxygen partial pressure for the specific NITROX breathing mix to be used.

7.42.2 Bottom Time Limits

7. 42.2.1. Maximum bottom time should be based on the depth of the dive and the NITROX mixture being used.

7. 42.2.2 Bottom time for a single dive should not exceed the NOAA maximum allowable "Single Exposure Limit" for a given oxygen partial pressure, as listed in the current NOAA Diving Manual.

7.42.3 Decompression Tables and Gases

7.42.3.1. A set of DCB approved NITROX decompression tables should be available at the dive site.

7.42.3.2. When using the equivalent air depth (EAD) method, dives should be conducted using air decompression tables approved by the DCB.

7.42.3.3. If NITROX is used to increase the safety margin of air-based dive tables, the MOD and oxygen exposure and time limits for the NITROX mixture being dived should not be exceeded

7.42.3.4. Breathing mixtures used while performing in-water decompression, or for bail-out purposes, should contain the same or greater oxygen content as that being used during the dive, within the confines of depth limitations of section 7.3.21 and the oxygen partial pressure limits set forth in Sec. 7.3.32.

7.42.4 NITROX Dive Computers

7.42.4.1. Dive Computers may be used to compute decompression status during NITROX dives. Manufacturers' guidelines and operations instructions should be followed.

7.42.4.2. Use of NITROX dive computers should comply with dive computer guidelines included in the AAUS Standards (Appendix 12).

7.42.4.3. NITROX Dive computer users should demonstrate a clear understanding of the display, operations, and manipulation of the unit being used for NITROX diving prior to using the computer, to the satisfaction of the DSO or his/her designee

7.42.4.4. If NITROX is used to increase the safety margin of an air-based dive computer, the MOD and oxygen exposure and time limits for the NITROX mixture being dived should not be exceeded.

7.42.4.5. Dive computers capable of pO2 limit and fO2 adjustment should be checked by the diver prior to the start each dive to assure compatibility with the mix being used.

7.42.5 Repetitive Diving

7.42.5.1. Repetitive dives using NITROX mixtures should be performed in compliance with procedures required of the specific dive tables used.

7.42.5.2. Residual nitrogen time should be based on the EAD for the specific NITROX mixture to be used on the repetitive dive, and not that of the previous dive.

7.42.5.3. The total cumulative exposure (bottom time) to a partial pressure of oxygen in a given 24 hour period should not exceed the current NOAA Diving Manual 24-hour Oxygen Partial Pressure Limits for 'Normal' Exposures.

7.42.5.4. When repetitive dives expose divers to different oxygen partial pressures from dive to dive, divers should account for accumulated oxygen exposure from previous dives when determining acceptable exposures for repetitive dives. Both acute (CNS) and chronic (pulmonary) oxygen toxicity concerns should be addressed.

7.43 Oxygen Parameters

7.43.1 Authorized Mixtures

Mixtures meeting the criteria outlined in Sec. 7.6.1.3.21 may be used for NITROX diving operations, upon approval of the DCB.

7.43.2 Purity

7.43.2.1. Oxygen used for mixing NITROX breathing gas should meet the purity levels for “Medical Grade” (U.S.P.) or “Aviator Grade” standards.

7.43.2.2. In addition to the AAUS Air Purity Guidelines (AAUS Sec. 3.60), the following standard should be met for breathing air that is either

a. placed in contact with oxygen concentrations greater than 40%, or

b. used in NITROX production by the partial pressure mixing method with gas mixtures containing greater than 40% oxygen as the enriching agent:

Air Purity:	CGA Grade E (AAUS Sec. 3.60)
Condensed Hydrocarbons:	$5mg/m^2$
Hydro Carbon Contaminants:	No greater than 0.1 mg/m^3

7.43.40 Gas Mixing and Analysis for UM

7.43.41 Personnel Requirements

7.43.41.1. Individuals responsible for producing and/or analyzing NITROX mixtures should be knowledgeable and experienced in all aspects of the technique.

7.43.41.2. Only those individuals approved by the DSO and/or DCB should be responsible for mixing and/or analyzing NITROX mixtures (except as noted in Sec. 7.6.1.3.43.2).

7.43.42 Production Methods
It is the responsibility of the DCB to approve the specific NITROX production method used.

7.43.43 Analysis Verification by User

7.43.43.1. It is the responsibility of each diver to analyze prior to the dive the oxygen content of his/her scuba cylinder and acknowledge in writing the following information for each cylinder: fO2, MOD, cylinder pressure, date of analysis, and user’s name.

7.43.43.2. Individual dive log reporting forms should report fO2 of NITROX used, if different than 21%.

7.44 NITROX DIVING EQUIPMENT
All of the designated equipment and stated requirements regarding scuba equipment required in the AAUS Standards should apply to NITROX scuba operations. Additional minimal equipment necessary for NITROX diving operations includes:

Labeled SCUBA Cylinders Oxygen Analyzers

7.44.10 Oxygen Cleaning and Maintenance Requirements

7.44.10.1 Requirement for Oxygen Service

7.44.10.2 All equipment which during the dive or cylinder filling process is exposed to concentrations greater than 40% oxygen at pressures above 150 psi should be cleaned and maintained for oxygen service.

7.44.10.3 Equipment used with oxygen or mixtures containing over forty percent (40%) by volume oxygen shall be designed and maintained for oxygen service.

7.44.10.4 Oxygen systems over 125 psig shall have slow-opening shut-off valves This should include the following equipment: scuba cylinders, cylinder valves, scuba and other regulators, cylinder pressure gauges, hoses, diver support equipment, compressors, and fill station components and plumbing.

7.44.20 Equipment

7.44.21 Scuba Cylinder Identification Marking
Scuba cylinders to be used with NITROX mixtures should have the following identification documentation affixed to the cylinder.

7.44.21.1. Cylinders should be marked “NITROX”, or “EANx”, or “Enriched Air”

7.44.21.2. NITROX identification color coding should include a 4-inch wide green band around the cylinder, starting immediately below the shoulder curvature. If the cylinder is not yellow in, the green band should be bordered above and below by a 1-inch yellow band.

7.44.21.3. The alternate marking of a yellow cylinder by painting the cylinder crown green and printing the word “NITROX” parallel to the length of the cylinder in green print is acceptable.
7.44.21.4. Other markings which Identify the cylinder as containing gas mixes other than air may be used as the approval of the DCB.

7.44.21.5. A contents label should be affixed, to include the current fO2, date of analysis, and MOD.

7.44.21.6. The cylinder should be labeled to indicate whether the cylinder is prepared for oxygen or NITROX mixtures containing greater than 40% oxygen.

7.44.22 Regulators
Regulators to be used with NITROX mixtures containing greater than 40% oxygen should be cleaned and maintained for oxygen service, and marked in an identifying manner.

7.44.23 Other Support Equipment

7.44.23.1. An oxygen analyzer is required which is capable of determining the oxygen content in the scuba cylinder. Two analyzers are recommended to reduce the likelihood of errors due to a faulty analyzer. The analyzer should be capable of reading a scale of 0 to 100% oxygen, within (one) 1% accuracy.

7.44.23.2. All diver and support equipment should be suitable for the fO2 being used.

7.44.30 Compressor and Fill Station

7.44.31 Compressor system

7.44.31.1. The compressor/filtration system MUST produce oil-free air.

7.44.31.2. An oil-lubricated compressor placed in service for a NITROX system should be checked for oil and hydrocarbon contamination at least quarterly.

7.44.32 Fill Station Components

All components of a NITROX fill station which will contact NITROX mixtures containing greater than 40% oxygen should be cleaned and maintained for oxygen service. This includes cylinders, whips, gauges, valves, and connecting lines.

SECTION 8

NEUTRAL BUOYANCE RESEARCH FACILITY
DIVING OPERATIONS AS A SPECIAL ENVIRONMENT

8.10 General Policy
This Section (8.00) applies to scientific operations in the UM Neutral Buoyancy Research Facility, herein referred to as NBRF divers only.

Definition:
A scientific NBRF diver is a scientific diver who is diving solely within a closed body of water similar to an aquarium. A NBRF is a shallow, confined body of water, which is operated by or under the control of an institution and is used for the purposes of research, specimen exhibit, education, or husbandry. From here onward in Section 8 the word "Neutral Buoyancy Research Tank (or NBRT)" will be used instead of "aquarium".

It is recognized that within NBRT diving there are environments and equipment that fall outside the scope of those addressed in this manual. In those circumstances it is the responsibility of the UM 's Dive Control Board to establish the requirements and protocol under which diving will be safely conducted. The Director of The Space Systems Laboratory (SSL) or his appointee shall provide input to the UMCP as to the requirements and protocols under which diving will be conducted safely.

Note: All of the standards set forth in other sections of this manual shall apply, except as otherwise provided in this Section 8.

8.20 The Buddy System in Scientific NBRT Diving
All scuba diving activities in the confined environment of the NBRT shall be conducted in accordance with the buddy system, whereby both divers, or a diver and a tender as provided below, are always in visual contact with one another, can always communicate with one another, and can always render prompt and effective assistance either in response to an emergency or to prevent an emergency.

A diver and tender comprise a buddy team in the confined environment of the NBRT only when:
(a) the maximum depth does not exceed 30 feet, and
(b) there are no overhead obstructions or entanglement hazards for the diver, and
(c) the tender is equipped, ready and able to conduct or direct a prompt and effective in-water retrieval of the diver at all times during the dive.

8.30 Diving Equipment
Section 3.27 of this manual is modified to read as follows:

In an NBRT of a known maximum obtainable depth:
1. A depth indicator is not required, except that a repetitive diver shall use the same computer used on any prior dive.

2. Only one buddy must be equipped with a timing device.
3. The maximum obtainable depth of the NBRT shall be used as the diving depth.

8.40 Scientific NBRT Divers Certification

8.41 Scientific NBRT divers

A Scientific NBRT diver is a certification enabling the qualified diver to participate in scientific diving in accordance with the standards of this section 8 as provided below.

8.41 All of the standards set forth in sections 4 and 5 of this manual shall apply, except that: Section 5.32.2 of this manual is modified to read as follows:
Practical training shall include at least 12 supervised NBRT dives for a cumulative bottom time of 6 hours. No more than 3 of these dives shall be made in one day.

8.50 Scientific NBRT Diving Using Other Diving Technology

8.51 Surface Supplied Scientific NBRT Diving
Definition: For purposes of scientific NBRT diving, surface supplied diving is described as a mode of diving using open circuit, surface supplied compressed gas which is provided to the diver at the dive location and may or may not include voice communication with the surface tender.

8.51.1 Divers using the surface supplied mode shall be equipped with a diver-carried independent reserve breathing gas supply.

Scientific NBRT divers using conventional scuba masks, full-face masks or non-lockdown type helmets are exempt from this standard provided:

a) there are no overhead obstructions or entanglements, and

b) the diver is proficient in performing a Controlled Emergency Swimming Ascent from at least as deep as the maximum depth of the NBRT, and

c) the diver is proficient in performing out of air emergency drills, including ascent and mask/helmet removal.

8.51.2 Each surface supplied diver shall be hose-tended by a separate dive team member while in the water.

Scientific NBRT divers are exempt from this standard, provided the tender is monitoring only one air source, there is mutual assistance between divers and there are no overhead obstructions or entanglements.

8.51.3 Divers using the surface supplied mode shall maintain communication with the surface tender.

8.51.4 The surface supplied breathing gas supply (volume and intermediate pressure) shall be sufficient to support all surface supplied divers in the water for the duration of the planned dive.

8.51.5 During surface supplied diving operations when only one diver is in the water, there must be a standby diver in attendance at the dive location.

Scientific NBRT divers are exempt from this standard , provided the tender is equipped, ready and able to conduct a prompt and effective in-water retrieval of the diver at all times during the dive."

8.51.6 Surface supplied equipment must be configured to allow retrieval of the diver by the surface tender without risk of interrupting air supply to the diver.

8.51.7 All surface supplied applications used for scientific NBRT diving shall have a non-return valve at the attachment point between helmet or mask hose, which shall close readily and positively.

8.60 Diving In The NBRT

8.60.1 All involved personal shall meet for a pre dive briefing. The test director shall describe the test procedures, outlining the responsibilities of the personal involved.

8.60.2.The lead diver shall brief the dive tem on planned operations, hand signals, emergency procedures, safety policies and other issues related to the underwater operations and safety.

8.60.3.The Deck Chief will be responsible to record divers starting a air pressure, ending air pressure and Starting and ending bottom time. The deck Chief will also communicate to divers to commence the dive operation. The Deck Chief will also be responsible for a divers recall signal, should any diving emergency arise.

8.60.4 Ratio of dive staff to "in the water dive leader" shall be 5:1

8.70 Post Dive and Record Keeping

NBRT shall keep all records of the dive operation and divers involved. The Director of the NBRT or his assigns shall furnish the dive logs of the divers involved at the end of the dive session to the UM DSO, via email of fax. The Director shall also provide to the UM DSO copies of proof certification, medicals, insurances and other information deemed necessary by this manual.

8.70.1 Diver Qualifications

Divers must furnish proof of primary medical insurance, DAN or equivalent is recommended as a supplementary insurance.

8.70.2 SSL (Space Systems Laboratory) Diver is a person that is associated with SSL and has regular And routine involvement with diving and dive operations at NBRF. A SSL diver shall comply with sections 4.0 and 5.0 of this manual. SSL divers must have current DAN or equivalent Oxygen administration for diving emergencies.

8.70.3 Visiting Diver
Definition: Is a diver that is from other than the NBRF. This diver meets the requirements of section 4.30. A waiver of sections 5.31 and 5..32 may be applied for through the UM DSO.

8.70.4 Exceptions
Except that a medical evaluations form from RST (recreation scuba training counsel) or as approved by UM DSO must be completed by a Practicing Medical Physician.

8.70.5 The requirement of 02 Administration for Diving Emergencies may be waived for visiting divers, providing the NBRF maintains a ratio one trained oxygen admin person per buddy team in the water.

8.80 Diving Equipment
All diving equipment owned by the NBRF shall be maintained as with requirements of this manual. No NBRF equipment may be used out side the facility for recreational diving. Individual equipment shall be maintained in accordance with section on equipment.

Use of NBRF equipment will be done solely by divers trained in it's use for the purpose intended.

Equipment requiring additional or specialized training such as full face mask, helmets etc shall only be used b those diver that have completed that training.

8.90 Safety Divers
Use of divers designated as safety divers by the Deck Chief will not be involved in any portion the test or under water work other than that necessary to provide immediate assistance to the test subjects.

Safety Divers shall be at leased qualified as lead divers.

8.9.01 Equipment worn by safety divers shall contain Octopus with sufficient hose length, or independent air system necessary to perform a diving rescue. When using safety divers, they will carry sufficient air tanks on their person and or stage redundant diver systems in the vicinity of test.

8.9.02 Space Suit Operations.
When research requires the use of Maryland Advanced Research/Simulations (MARS) Suit, Two divers designated as safety divers that have received training on suit systems, dive support procedures and contingency procedures shall be present in the water at all times.

8.100 Specialty Training

When required, may be done by Instructors that hold that rating through a nationally recognized training agency, and must be approved by the UM DSO. The training may also be done by a person appointed by the UM DSO, when that person is known to have extensive knowledge in that field.

SECTION 9.00

STAGED DECOMPRESSION DIVING

Decompression diving shall be defined as any diving during which the diver cannot perform a direct return to the surface without performing a mandatory decompression stop to allow the release of inert gas from the diver's body

The following procedures shall be observed when conducting dives requiring planned decompression stops.

9.10 Minimum Experience and Training Requirements

Prerequisites:

Scientific Diver qualification according to Section 5.00.

Minimum of 100 logged dives.

Demonstration of the ability to safely plan and conduct dives deeper than 100 feet.

Nitrox certification/authorization according to AAUS Section 7.00 recommended.

Training shall be appropriate for the conditions in which dive operations are to be conducted.

Minimum Training shall include the following:

A minimum of 6 hours of classroom training to ensure theoretical knowledge to include: physics and physiology of decompression;

decompression planning and procedures;

gas management;

equipment configurations;

decompression method, emergency procedures.

It is recommended that at least one training session be conducted in a pool or sheltered water setting, to cover equipment handling and familiarization, swimming and buoyancy control, to estimate gas consumption rates, and to practice emergency procedures.

At least 6 open-water training dives simulating/requiring decompression shall be conducted, emphasizing planning and execution of required decompression dives, and including practice of emergency procedures.

Progression to greater depths shall be by 4-dive increments at depth intervals as specified in Section 5.40.

No training dives requiring decompression shall be conducted until the diver has demonstrated acceptable skills under simulated conditions.The following are the minimum skills the diver must demonstrate proficiently during dives simulating and requiring decompression:

Buoyancy control

Proper ascent rate

Proper depth control

Equipment manipulation

Stage/decompression bottle use as pertinent to planned diving operation

Buddy skills

Gas management

Time management

Task loading

Emergency skills

Divers shall demonstrate to the satisfaction of the DSO or the DSO's designee proficiency in planning and executing required decompression dives appropriate to the conditions in which diving operations are to be conducted.

Upon completion of training, the diver shall be authorized to conduct required decompression dives with DSO approval.

9.20 Minimum Equipment Requirements

Valve and regulator systems for primary (bottom) gas supplies shall be configured in a redundant manner that allows continuous breathing gas delivery in the event of failure of any one component of the regulator/valve system.

Cylinders with volume and configuration adequate for planned diving operations.

One of the second stages on the primary gas supply shall be configured with a hose of adequate length to facilitate effective emergency gas sharing in the intended environment.

Minimum dive equipment shall include:

Snorkel is optional at the DCB's discretion, as determined by the conditions and environment.

Diver location devices adequate for the planned diving operations and environment.

Compass

Redundancy in the following components is desirable or required at the discretion of the DCB or DSO:

Decompression Schedules

Dive Timing Devices

Depth gauges

Buoyancy Control Devices

Cutting devices

Lift bags and line reels

9.30 Minimum Operational Requirements

Approval of dive plan applications for decompression dives shall be on a case-by-case basis.

The maximum pO_2 to be used for planning required decompression dives is 1.6. It is recommended that a pO_2 of less than 1.6 be used during bottom exposure.

Divers gas supplies shall be adequate to meet planned operational requirements and foreseeable emergency situations.

Decompression dives may be planned using dive tables, dive computers, and/or PC software approved by the DSO/DCB.

Breathing gases used while performing in-water decompression shall contain the same or greater oxygen content as that used during the bottom phase of the dive.

The dive team, prior to each dive, shall review emergency procedures appropriate for the dive.

If breathing gas mixtures other than air are used for required decompression, their use shall be in accordance with those regulations set forth in the appropriate sections of this standard.

The maximum depth for required decompression using air as the bottom gas shall be 190 feet.

Use of additional nitrox and/or high-oxygen fraction decompression mixtures as travel and decompression gases to decrease decompression obligations is encouraged.

Use of alternate inert gas mixtures to limit narcosis is encouraged for depths greater than 150 feet.

If a period of more than 6 months has elapsed since the last mixed gas dive, a series of progressive workup dives to return the diver(s) to proficiency status prior to the start of project diving operations are recommended.

Mission specific workup dives are recommended.

SECTION 10.00

MIXED GAS DIVING

Mixed gas diving is defined as dives done while breathing gas mixes containing proportions greater than 1% by volume of an inert gas other than nitrogen.

10.10 Minimum Experience and Training Requirements

Prerequisites:

Nitrox certification and authorization (Section 7.00)

If the intended use entails required decompression stops, divers will be previously certified and authorized in decompression diving (Section 9.00).

Divers shall demonstrate to the DCB's satisfaction skills, knowledge, and attitude appropriate for training in the safe use of mixed gases.

Classroom training including:

Review of topics and issues previously outlined in nitrox and required decompression diving training as pertinent to the planned operations.

The use of helium or other inert gases, and the use of multiple decompression gases.

Equipment configurations

Mixed gas decompression planning

Gas management planning

Thermal considerations

END determination

Mission planning and logistics

Emergency procedures

Mixed gas production methods

Methods of gas handling and cylinder filling

Oxygen exposure management

Gas analysis

Mixed gas physics and physiology

Practical Training:

Confined water session(s) in which divers demonstrate proficiency in required skills and techniques for proposed diving operations.

A minimum of 6 open water training dives.

At least one initial dive shall be in 130 feet or less to practice equipment handling and emergency procedures.

Subsequent dives will gradually increase in depth, with a majority of the training dives being conducted between 130 feet and the planned operational depth.

Planned operational depth for initial training dives shall not exceed 260 feet.

Diving operations beyond 260 feet requires additional training dives.

10.20 Equipment and Gas Quality Requirements

Equipment requirements shall be developed and approved by the DCB, and met by divers, prior to engaging in mixed-gas diving. Equipment shall meet other pertinent requirements set forth elsewhere in this standard.

The quality of inert gases used to produce breathing mixtures shall be of an acceptable grade for human consumption.

10.30 Minimum Operational Requirements

Approval of dive plans to conduct mixed gas dives shall be on a case-by-case basis.

All applicable operational requirements for nitrox and decompression diving shall be met.

The maximum pO_2 to be used for planning required decompression dives is 1.6. It is recommended that a pO_2 of less than 1.6 be used during bottom exposure.

Maximum planned Oxygen Toxicity Units (OTU) will be considered based on mission duration.

Divers decompressing on high-oxygen concentration mixtures shall closely monitor one another for signs of acute oxygen toxicity.

If a period of more than 6 months has elapsed since the last mixed gas dive, a series of progressive workup dives to return the diver(s) to proficiency status prior to the start of project diving operations are recommended.

SECTION 11

SPECIAL OPERATING ENVIRONMENTS

11.10 Blue Water Diving
Blue water diving is defined as diving in open water where the bottom is generally >200 feet deep. It requires special training and the use of multiple-tethered diving techniques. Specific guidelines that should be followed are outlined in "Blue Water Diving Guidelines" (California Sea Grant Publ. No. T-CSGCP-014).

11.20 Ice And Polar Diving
Divers planning to dive under ice or in polar conditions should use the following: "Guidelines for Conduct of Research Diving", National Science Foundation, Division of Polar Programs, 1990.

11.30 Overhead Environments
Where an enclosed or confined space is not large enough for two divers, a diver shall be stationed at the underwater point of entry and an orientation line shall be used.

11.40 Hookah
While similar to Surface Supplied Diving, in that the breathing gas is supplied from the surface by means of a pressurized hose, the supply hose does not require a strength member, pneumofathometer hose, or communication line. Hookah equipment may be as simple as a long hose attached to a standard scuba cylinder supplying a standard scuba second stage, or connected to a compressor at the surface with air supplied through one or more hoses. The diver is responsible for the monitoring his/her own depth, time, and diving profile.

11.50 Surface Supplied Diving
Dives where the breathing gas is supplied from the surface by means of a pressurized umbilical hose. The umbilical generally consists of a gas supply hose, strength member, pneumofathometer hose, and communication line. The umbilical supplies a helmet or full-face mask. The diver may rely on the tender at the surface to keep up with the divers' depth, time and diving profile.

SECTION 12.0

REBREATHERS

This section defines specific considerations regarding the following issues for the use of rebreathers:

Training and/or experience verification requirements for authorization
Equipment requirements
Operational requirements and additional safety protocols to be used

Application of this standard is in addition to pertinent requirements of all other sections of the AAUS Standards for Scientific Diving, Volumes 1 and 2.

For rebreather dives that also involve staged decompression and/or mixed gas diving, all requirements for each of the relevant diving modes shall be met. Diving Control Board reserves the authority to review each application of all specialized diving modes, and include any further requirements deemed necessary beyond those listed here on a case-by-case basis.

No diver shall conduct planned operations using rebreathers without prior review and approval of the DCB.

In all cases, trainers shall be qualified for the type of instruction to be provided. Training shall be conducted by agencies or instructors approved by DSO and DCB.

12.10 Definitions and General Information

Rebreathers are defined as any device that recycles some or all of the exhaled gas in the breathing loop and returns it to the diver. Rebreathers maintain levels of oxygen and carbon dioxide that support life by metered injection of oxygen and chemical removal of carbon dioxide. These characteristics fundamentally distinguish rebreathers from open-circuit life support systems, in that the breathing gas composition is dynamic rather than fixed.

Advantages of rebreathers may include increased gas utilization efficiencies that are often independent of depth, extended no-decompression bottom times and greater decompression efficiency, and reduction or elimination of exhaust bubbles that may disturb aquatic life or sensitive environments.

Disadvantages of rebreathers include high cost and, in some cases, a high degree of system complexity and reliance on instrumentation for gas composition control and monitoring, which may fail. The diver is more likely to experience hazardous levels of hypoxia, hyperoxia, or hypercapnia, due to user error or equipment malfunction, conditions which may lead to underwater blackout and drowning. Inadvertent flooding of the breathing loop and wetting of the carbon dioxide absorbent may expose the diver to ingestion of an alkaline slurry ("caustic cocktail").

An increased level of discipline and attention to rebreather system status by the diver is required for safe operation, with a greater need for self-reliance. Rebreather system design and operation

varies significantly between make and model. For these reasons when evaluating any dive plan incorporating rebreathers, risk-management emphasis should be placed on the individual qualifications of the diver on the specific rebreather make and model to be used, in addition to specific equipment requirements and associated operational protocols.

Oxygen Rebreathers.
Oxygen rebreathers recycle breathing gas, consisting of pure oxygen, replenishing the oxygen metabolized by the diver. Oxygen rebreathers are generally the least complicated design, but are normally limited to a maximum operation depth of 20fsw due to the risk of unsafe hyperoxic exposure.

Semi-Closed Circuit Rebreathers
Semi-closed circuit rebreathers (SCR) recycle the majority of exhaled breathing gas, venting a portion into the water and replenishing it with a constant or variable amount of a single oxygen-enriched gas mixture. Gas addition and venting is balanced against diver metabolism to maintain safe oxygen levels by means which differ between SCR models, but the mechanism usually provides a semi-constant fraction of oxygen (FO_2) in the breathing loop at all depths, similar to open-circuit SCUBA.

Closed-Circuit Mixed Gas Rebreathers
Closed-circuit mixed gas rebreathers (CCR) recycle all of the exhaled gas and replace metabolized oxygen via an electronically controlled valve, governed by electronic oxygen sensors. Manual oxygen addition is available as a diver override, in case of electronic system failure. A separate inert gas source (diluent), usually containing primarily air, heliox, or trimix, is used to maintain oxygen levels at safe levels when diving below 20fsw. CCR systems operate to maintain a constant oxygen partial pressure (PPO_2) during the dive, regardless of depth.

12.20 Prerequisites
Specific training requirements for use of each rebreather model shall be defined by DCB on a case-by-case basis. Training shall include factory-recommended requirements, but may exceed this to prepare for the type of mission intended (e.g., staged decompression or heliox/trimix CCR diving).

Training Prerequisites

Active scientific diver status, with depth qualification sufficient for the type, make, and model of rebreather, and planned application.

Completion of a minimum of 50 open-water dives on SCUBA.

For SCR or CCR, a minimum 100-fsw-depth qualification is generally recommended, to ensure the diver is sufficiently conversant with the complications of deeper diving. If the sole expected application for use of rebreathers is shallower than this, a lesser depth qualification may be allowed with the approval of the DCB.

Nitrox training. Training in use of nitrox mixtures containing 25% to 40% oxygen is required. Training in use of mixtures containing 40% to 100% oxygen may be required,

as needed for the planned application and rebreather system. Training may be provided as part of rebreather training.

Training

Successful completion of the following training program qualifies the diver for rebreather diving using the system on which the diver was trained, in depths of 130fsw and shallower, for dives that do not require decompression stops, using nitrogen/oxygen breathing media.

Satisfactory completion of a rebreather training program authorized or recommended by the manufacturer of the rebreather to be used, or other training approved by the DCB. Successful completion of training does not in itself authorize the diver to use rebreathers. The diver must demonstrate to the DCB or its designee that the diver possesses the proper attitude, judgment, and discipline to safely conduct rebreather diving in the context of planned operations.

Classroom training shall include:

A review of those topics of diving physics and physiology, decompression management, and dive planning included in prior scientific diver, nitrox, staged decompression and/or mixed gas training, as they pertain to the safe operation of the selected rebreather system and planned diving application.

In particular, causes, signs and symptoms, first aid, treatment and prevention of the following must be covered:

Hyperoxia (CNS and Pulmonary Oxygen Toxicity)

Middle Ear Oxygen Absorption Syndrome (oxygen ear)

Hyperoxia-induced myopia

Hypoxia

Hypercapnia

Inert gas narcosis

Decompression sickness

Rebreather-specific information required for the safe and effective operation of the system to be used, including:

System design and operation, including:

Counterlung(s)

CO_2 scrubber

CO_2 absorbent material types, activity characteristics, storage, handling and disposal

Oxygen control system design, automatic and manual

Diluent control system, automatic and manual (if any)

Pre-dive set-up and testing

Post-dive break-down and maintenance

Oxygen exposure management

Decompression management and applicable decompression tracking methods

Dive operations planning

Problem recognition and management, including system failures leading to hypoxia, hyperoxia, hypercapnia, flooded loop, and caustic cocktail

Emergency protocols and bailout procedures

Practical Training (with model of rebreather to be used)

A minimum number of hours of underwater time.

Type	Pool/Confined Water	O/W Training	O/W Supervised
Oxygen Rebreather	1 dive, 90 min	4 dives, 120 min.*	2 dives, 60 min
Semi-Closed Circuit	1 dive, 90-120 min	4 dives, 120 min.**	4 dives, 120 min
Closed-Circuit	1 dive, 90-120 min	8 dives, 380 min.***	4 dives, 240 min

* Dives should not exceed 20 fsw.
** First two dives should not exceed 60 fsw. Subsequent dives should be at progressively great depths, with at least one dive in the 80 to 100 fsw range.
*** Total underwater time (pool and open water) of approximately 500 minutes. First two open water dives should not exceed 60 fsw. Subsequent dives should be at progressively greater depths, with at least 2 dives in the 100 to 130 fsw range.

Amount of required in-water time should increase proportionally to the complexity of rebreather system used.

Training shall be in accordance with the manufacturer's recommendations.

Practical Evaluations
Upon completion of practical training, the diver must demonstrate to the DCB or its designee proficiency in pre-dive, dive, and post-dive operational procedures for the particular model of rebreather to be used. Skills shall include, at a minimum:

Oxygen control system calibration and operation checks

Carbon dioxide absorbent canister packing

Supply gas cylinder analysis and pressure check

Test of one-way valves

System assembly and breathing loop leak testing

Pre-dive breathing to test system operation

In-water leak checks

Buoyancy control during descent, bottom operations, and ascent

System monitoring and control during descent, bottom operations, and ascent

Proper interpretation and operation of system instrumentation (PO2 displays, dive computers, gas supply pressure gauges, alarms, etc, as applicable)

Unit removal and replacement on the surface.

Bailout and emergency procedures for self and buddy, including:

System malfunction recognition and solution

Manual system control

Flooded breathing loop recovery (if possible)

Absorbent canister failure

Alternate bailout options

Symptom recognition and emergency procedures for hyperoxia, hypoxia, and hypercapnia

Proper system maintenance, including:

Full breathing loop disassembly and cleaning (mouthpiece, check-valves, hoses, counterlung, absorbent canister, etc.)

Oxygen sensor replacement (for SCR and CCR)

Other tasks required by specific rebreather models

Written Evaluation

A written evaluation approved by the DCB with a pre-determined passing score, covering concepts of both classroom and practical training, is required.

Supervised Rebreather Dives

Upon successful completion of open water training dives, the diver is authorized to conduct a series of supervised rebreather dives, during which the diver gains additional experience and proficiency.

Supervisor for these dives should be the DSO or designee, and should be an active scientific diver experienced in diving with the make/model of rebreather being used.

Dives at this level may be targeted to activities associated with the planned science diving application. See the following table for number and cumulative water time for different rebreather types.

Type	Pool/Confined Water	O/W Training	O/W Supervised
Oxygen Rebreather	1 dive, 90 min	4 dives, 120 min.*	2 dives, 60 min
Semi-Closed Circuit	1 dive, 90-120 min	4 dives, 120 min.**	4 dives, 120 min
Closed-Circuit	1 dive, 90-120 min	8 dives, 380 min.***	4 dives, 240 min

* Dives should not exceed 20 fsw.
** First two dives should not exceed 60 fsw. Subsequent dives should be at progressively great depths, with at least one dive in the 80 to 100 fsw range.
*** Total underwater time (pool and open water) of approximately 500 minutes. First two open water dives should not exceed 60 fsw. Subsequent dives should be at progressively greater depths, with at least 2 dives in the 100 to 130 fsw range.

Maximum ratio of divers per designated dive supervisor is 4:1. The supervisor may dive as part of the planned operations.

Extended Range, Required Decompression and Helium-Based Inert Gas
Rebreather dives involving operational depths in excess of 130 fsw, requiring staged decompression, or using diluents containing inert gases other than nitrogen are subject to additional training requirements, as determined by DCB on a case-by-case basis. Prior experience with required decompression and mixed gas diving using open-circuit SCUBA is desirable, but is not sufficient for transfer to dives using rebreathers without additional training.

As a prerequisite for training in staged decompression using rebreathers, the diver shall have logged a minimum of 25 hours of underwater time on the rebreather system to be used, with at least 10 rebreather dives in the 100 fsw to 130 fsw range.

As a prerequisite for training for use of rebreathers with gas mixtures containing inert gas other than nitrogen, the diver shall have logged a minimum of 50 hours of underwater time on the rebreather system to be used and shall have completed training in stage decompression methods using rebreathers. The diver shall have completed at least 12 dives requiring staged decompression on the rebreather model to be used, with at least 4 dives near 130 fsw.

Training shall be in accordance with standards for required-decompression and mixed gas diving, as applicable to rebreather systems, starting at the130 fsw level.

Maintenance of Proficiency
To maintain authorization to dive with rebreathers, an authorized diver shall make at least one dive using a rebreather every 8 weeks. For divers authorized for the conduct of extended range, stage decompression or mixed-gas diving, at least one dive per month should be made to a depth near 130 fsw, practicing decompression protocols.

For a diver in arrears, the DCB shall approve a program of remedial knowledge and skill tune-up training and a course of dives required to return the diver to full authorization. The extent of this program should be directly related to the complexity of the planned rebreather diving operations.

12.30 Equipment Requirements
General Requirements
Only those models of rebreathers specifically approved by DCB shall be used.

Rebreathers should be manufactured according to acceptable Quality Control/Quality Assurance protocols, as evidenced by compliance with the essential elements of ISO 9004. Manufacturers should be able to provide to the DCB supporting documentation to this effect.

Unit performance specifications should be within acceptable levels as defined by standards of a recognized authority (CE, US Navy, Royal Navy, NOAA, etc…).

Prior to approval, the manufacturer should supply the DCB with supporting documentation detailing the methods of specification determination by a recognized third-party testing agency, including unmanned and manned testing. Test data should be from a recognized, independent test facility.

The following documentation for each rebreather model to be used should be available as a set of manufacturer's specifications. These should include:

- Operational depth range
- Operational temperature range
- Breathing gas mixtures that may be used
- Maximum exercise level which can be supported as a function of breathing gas and depth
- Breathing gas supply durations as a function of exercise level and depth
- CO_2 absorbent durations, as a function of depth, exercise level, breathing gas, and water temperature
- Method, range and precision of inspired PPO_2 control, as a function of depth, exercise level, breathing gas, and temperature
- Likely failure modes and backup or redundant systems designed to protect the diver if such failures occur
- Accuracy and precision of all readouts and sensors
- Battery duration as a function of depth and temperature
- Mean time between failures of each subsystem and method of determination

A complete instruction manual is required, fully describing the operation of all rebreather components and subsystems as well as maintenance procedures.

A maintenance log is required. The unit maintenance shall be up-to-date based upon manufacturer’s recommendations.

Minimum Equipment

A surface/dive valve in the mouthpiece assembly, allowing sealing of the breathing loop from the external environment when not in use.

An automatic gas addition valve, so that manual volumetric compensation during descent is unnecessary.

Manual gas addition valves, so that manual volumetric compensation during descent and

manual oxygen addition at all times during the dive are possible.

The diver shall carry alternate life support capability (open-circuit bail-out or redundant rebreather) sufficient to allow the solution of minor problems and allow reliable access to a pre-planned alternate life support system.

Oxygen Rebreathers
Oxygen rebreathers shall be equipped with manual and automatic gas addition valves.

Semi-Closed Circuit Rebreathers.
SCR's shall be equipped with at least one manufacturer-approved oxygen sensor sufficient to warn the diver of impending hypoxia. Sensor redundancy is desirable, but not required.

Closed Circuit Mixed-gas Rebreathers
CCR shall incorporate a minimum of three independent oxygen sensors.
A minimum of two independent displays of oxygen sensor readings shall be available to the diver.

Two independent power supplies in the rebreather design are desirable. If only one is present, a secondary system to monitor oxygen levels without power from the primary battery must be incorporated.

CCR shall be equipped with manual diluent and oxygen addition valves, to enable the diver to maintain safe oxygen levels in the event of failure of the primary power supply or automatic gas addition systems.

Redundancies in onboard electronics, power supplies, and life support systems are highly desirable.

12.40 Operational Requirements

General Requirements

All dives involving rebreathers must comply with applicable operational requirements for open-circuit SCUBA dives to equivalent depths.

No rebreather system should be used in situations beyond the manufacturer's stated design limits (dive depth, duration, water temperature, etc).

Modifications to rebreather systems shall be in compliance with manufacturer's recommendations.

Rebreather maintenance is to be in compliance with manufacturer's recommendations including sanitizing, replacement of consumables (sensors, CO_2 absorbent, gas, batteries, etc) and periodic maintenance.

Dive Plan. In addition to standard dive plan components stipulated in AAUS Section 2.0, all dive plans that include the use of rebreathers must include, at minimum, the following details:

Information about the specific rebreather model to be used
Make, model, and type of rebreather system
Type of CO_2 absorbent material
Composition and volume(s) of supply gases
Complete description of alternate bailout procedures to be employed, including manual rebreather operation and open-circuit procedures
Other specific details as requested by DCB

Buddy Qualifications.

A diver whose buddy is diving with a rebreather shall be trained in basic rebreather operation, hazard identification, and assist/rescue procedures for a rebreather diver.

If the buddy of a rebreather diver is using open-circuit scuba, the rebreather diver must be equipped with a means to provide the open-circuit scuba diver with a sufficient supply of open-circuit breathing gas to allow both divers to return safely to the surface.

Oxygen Exposures
Planned oxygen partial pressure in the breathing gas shall not exceed 1.4 atmospheres at depths greater than 30 feet.

Planned oxygen partial pressure set point for CCR shall not exceed 1.4 atm. Set point at depth should be reduced to manage oxygen toxicity according to the NOAA Oxygen Exposure Limits.

Oxygen exposures should not exceed the NOAA oxygen single and daily exposure limits. Both CNS and pulmonary (whole-body) oxygen exposure indices should be tracked for each diver.

Decompression Management
DCB shall review and approve the method of decompression management selected for a given diving application and project.

Decompression management can be safely achieved by a variety of methods, depending on the type and model of rebreather to be used. Following is a general list of methods for different rebreather types:

Oxygen rebreathers: Not applicable.

SCR (presumed constant FO_2):

Use of any method approved for open-circuit scuba diving breathing air, above the maximum operational depth of the supply gas.

Use of open-circuit nitrox dive tables based upon expected inspired FO_2. In this case, contingency air dive tables may be necessary for active-addition SCR's in the event that exertion level is higher than expected.

Equivalent air depth correction to open-circuit air dive tables, based upon expected inspired FO_2 for planned exertion level, gas supply rate, and gas composition. In this case, contingency air dive tables may be necessary for active-addition SCR's in the event that exertion level is higher than expected.

CCR (constant PPO_2):

Integrated constant PPO_2 dive computer.

Non-integrated constant PPO_2 dive computer.

Constant PPO_2 dive tables.

Open-circuit (constant FO_2) nitrox dive computer, set to inspired FO_2 predicted using PPO_2 set point at the maximum planned dive depth.

Equivalent air depth (EAD) correction to standard open-circuit air dive tables, based on the inspired FO_2 predicted using the PPO_2 set point at the maximum planned dive depth.

Air dive computer, or air dive tables used above the maximum operating depth (MOD) of air for the PPO_2 setpoint selected.

Maintenance Logs, CO2 Scrubber Logs, Battery Logs, and Pre-And Post-Dive Checklists
Logs and checklists will be developed for the rebreather used, and will be used before and after every dive. Diver shall indicate by initialing that checklists have been completed before and after each dive. Such documents shall be filed and maintained as permanent project records. No rebreather shall be dived which has failed any portion of the pre-dive check, or is found to not be operating in accordance with manufacturer's specifications. Pre-dive checks shall include:

Gas supply cylinders full

Composition of all supply and bail-out gases analyzed and documented

Oxygen sensors calibrated

Carbon dioxide canister properly packed

Remaining duration of canister life verified

Breathing loop assembled

Positive and negative pressure leak checks

Automatic volume addition system working

Automatic oxygen addition systems working

Pre-breathe system for 3 minutes (5 minutes in cold water) to ensure proper oxygen addition

and carbon dioxide removal (be alert for signs of hypoxia or hypercapnia)

Other procedures specific to the model of rebreather used

Documentation of ALL components assembled

Complete pre-dive system check performed

Final operational verification immediately before to entering the water:

PO_2 in the rebreather is not hypoxic

Oxygen addition system is functioning;

Volumetric addition is functioning

Bail-out life support is functioning

12.50 Alternate Life Support System

The diver shall have reliable access to an alternate life support system designed to safely return the diver to the surface at normal ascent rates, including any required decompression in the event of primary rebreather failure. The complexity and extent of such systems are directly related to the depth/time profiles of the mission. Examples of such systems include, but are not limited to:

Open-circuit bailout cylinders or sets of cylinders, either carried or pre-positioned

Redundant rebreather

Pre-positioned life support equipment with topside support

CO2 Absorbent Material

CO_2 absorption canister shall be filled in accordance with the manufacturer's specifications. CO_2 absorbent material shall be used in accordance with the manufacturer's specifications for expected duration.

If CO_2 absorbent canister is not exhausted and storage between dives is planned, the canister should be removed from the unit and stored sealed and protected from ambient air, to ensure the absorbent retains its activity for subsequent dives.

Long-term storage of carbon dioxide absorbents shall be in a cool, dry location in a sealed container. Field storage must be adequate to maintain viability of material until use. Consumables (e.g., batteries, oxygen sensors, etc.)

Other consumables (e.g., batteries, oxygen sensors, etc.) shall be maintained, tested, and replaced in accordance with the manufacturer's specifications.

Unit Disinfections

The entire breathing loop, including mouthpiece, hoses, counterlungs, and CO2 canister, should be disinfected periodically according to manufacturer's specifications. The loop must be disinfected between each use of the same rebreather by different divers.

Oxygen Rebreathers

Oxygen rebreathers shall not be used at depths greater than 20 feet.

Breathing loop and diver's lungs must be adequately flushed with pure oxygen prior to entering the water on each dive. Once done, the diver must breathe continuously and solely from the intact loop, or re-flushing is required.

Breathing loop shall be flushed with fresh oxygen prior to ascending to avoid hypoxia due to inert gas in the loop.

12.60 Semi-Closed Circuit Rebreathers

The composition of the injection gas supply of a semi-closed rebreather shall be chosen such that the partial pressure of oxygen in the breathing loop will not drop below 0.2 atm, even at maximum exertion at the surface.

The gas addition rate of active addition SCR (e.g., Draeger Dolphin and similar units) shall be checked before every dive, to ensure it is balanced against expected workload and supply gas FO_2.

The intermediate pressure of supply gas delivery in active-addition SCR shall be checked periodically, in compliance with manufacturer's recommendations.

Maximum operating depth shall be based upon the FO_2 in the active supply cylinder.

Prior to ascent to the surface the diver shall flush the breathing loop with fresh gas or switch to an open-circuit system to avoid hypoxia. The flush should be at a depth of approximately 30 fsw during ascent on dives deeper than 30 fsw, and at bottom depth on dives 30 fsw and shallower.

12.70 Closed-Circuit Rebreathers

The FO_2 of each diluent gas supply used shall be chosen so that, if breathed directly while in the depth range for which its use is intended, it will produce an inspired PPO_2 greater than 0.20 atm but no greater than 1.4 atm.

Maximum operating depth shall be based on the FO_2 of the diluent in use during each phase of the dive, so as not to exceed a PO_2 limit of 1.4 atm.

Divers shall monitor both primary and secondary oxygen display systems at regular intervals throughout the dive, to verify that readings are within limits, that redundant displays are providing similar values, and whether readings are dynamic or static (as an indicator of sensor failure).

The PPO_2 set point shall not be lower than 0.4 atm or higher than 1.4 atm.

SECTION 13

SCIENTIFIC CAVE AND CAVERN DIVING STANDARD

This standard helps to ensure all scientific diving in overhead environments is conducted in a manner which will maximize the protection of scientific divers from accidental injury and/or illness and provide the basis allowing the working reciprocity between AAUS organizational members.

If a conflict exists between this standard and other standards in this manual, the information set forth in this standard only takes precedence when the scientific diving being conducted takes place wholly or partly within an underwater cave or cavern environment.
A dive team shall be considered to be cave or cavern diving if at any time during the dive they find themselves in a position where they cannot complete a direct, unobstructed ascent to the surface because of rock formations.

The member organization requires that no person shall engage in scientific cave or cavern diving unless that person holds a recognized certificate/authorization issued pursuant to the provisions of this manual.

The diver must demonstrate to the DCB or it's designee that the diver possesses the proper attitude, judgment, and discipline to safety conduct cave and cavern diving in the context of planned operations.

Operational requirements for cave and cavern diving have been established through accident analysis of previous cave diving accidents.

13.10 Definitions

Alternate Gas Supply - Fully redundant system capable of providing a gas source to the diver should their primary gas supply fail.

Bubble Check - Visual examination by the dive team of their diving systems, looking for o-ring leaks or other air leaks conducted in the water prior to entering a cave. Usually included in the "S" Drill.

Cave – A dive shall be considered a cave dive if any one or more of the environmental limits specified in the definition of cavern are exceeded or otherwise not followed. Linear penetrations limits shall not exceed the limits of each diver's training.

Cave Dive - A dive, which takes place partially or wholly underground, in which one or more of the environmental parameters defining a cavern dive are exceeded.

Cavern - An entrance and first chamber to a cave where:

1. Sunlight from entrance is visible to all dive team members at all times during the dive.
2. Members of the dive team do not pass through any restrictions that don't allow the

divers to swim side by side during the dive, nor are there any restrictions between the divers and the most expeditious exit to the surface.

3. Maximum depth achieved shall not exceed the depth ratings of dive team.

Cavern Dive - A dive which takes place partially or wholly underground, in which the following environmental parameters are met:

1. Natural sunlight is continuously visible from the entrance.

2. Environmental conditions will be evaluated by the DSO or designee and appropriate limits incorporated into the dive plan.

Dual Valve Manifold with Isolator Valve - A manifold joining two diving cylinders, that allows the use of two completely independent regulators. If either regulator fails, it may be shut off, allowing the remaining regulator access to the gas in both of the diving cylinders.

Gas Management - Gas planning rule which is used in cave diving environments in which the diver reserves a portion of their available breathing gas for anticipated emergencies (See Rule of Thirds, Sixths).

Guideline - Continuous line used as a navigational reference during a dive leading from the team position to a point where a direct vertical ascent may be made to the surface.

Jump/Gap Reel -Spool or reel used to connect one guide line to another thus ensuring a continuous line to the exit.

Knife/Line Cutter - Small, sharp blade capable of easily cutting a guideline and that is accessible to the diver.

Lava Tube - Type of cave or cavern formed by the surface hardening of a stream of flowing molten rock, which may later become flooded due to static sea level changes.

Line Marker - Any one of several types of markers attached to a guideline, which provides additional navigational information to the dive team, most commonly the direction out to the nearest surface.

Mine Diving - Diving in the flooded portions of a man-made mine. Necessitates use of techniques detailed for cave diving.

Penetration Distance - Linear distance from the entrance intended or reached by a dive team during a dive at a dive site.

Primary Reel - Initial guideline used by the dive team from open water to maximum penetration or a permanently installed guideline.

Restriction - Any passage through which two divers cannot easily pass side by side while sharing air.

Rule of Thirds - Gas planning rule which is used in cave diving environments in which the diver reserves 2/3's of their breathing gas supply for exiting the cave or cavern.

Rule of Sixths - Air planning rule which is used in cave or other confined diving environments in which the diver reserves 5/6's of their breathing gas supply (for DPV use, siphon diving, etc.) for exiting the cave or cavern.

Safety Drill - ("S" Drill) - Short gas sharing, equipment evaluation, dive plan, and communication exercise carried out prior to entering a cave or cavern dive by the dive team.

Safety Reel - Secondary reel used as a backup to the primary reel, usually containing 150 feet of guideline that is used in an emergency.

Scientific Cave or Cavern Diver In Training - Authorized to dive in the cave or cavern environment under the direct supervision of qualified instructional personnel for training purposes only.

Scientific Cavern Diver - Authorization to dive in an overhead environment as defined in cavern.

Scientific Cave Diver - Authorization to dive in an overhead environment as defined in cave.

Sidemount Diving - A diving mode utilizing two independent SCUBA systems carried along the sides of the diver's body; either of which always has sufficient air to allow the diver to reach the surface unassisted.

Siphon - Cave into which water flows with a generally continuous in-current.

Solution Cave - Cave formed in carbonate or carbonate-cemented bedrock, formed by the dissolution of the rock by groundwater.

Spring - Cave with water flowing with a generally continuous outflow.

Sump - An area in a dry cave that can no longer be negotiated without the use of diving equipment.

Well - A vertical or nearly vertical shaft, usually manmade, through which a diver can access a dive site.

13.20 Cave and Cavern Environment Hazards

Current/Flow - Underwater caves have currents that vary in strength and direction. Of particular note is a condition known as siphoning. Siphoning caves have flow or current directed into the cave. This can cause poor visibility as a result of mud and silt being drawn into the cave entrance.

Silt - The presences of silt, sand, mud, clay, etc. on the cave floor can cause visibility to be reduced to nothing in a very short time.

Restrictions - Any passage through which two divers cannot easily pass side by side while sharing air make air sharing difficult.

Cave-ins - Cave-ins are a normal part of cave evolution; however experiencing a cave-in during diving operations is extremely unlikely.

13.30 Minimum Experience and Training Requirements
Cavern Diver
Prerequisites
The applicant for training shall have met the requirements in Section 5.00 of the AAUS *Standards for Scientific Diving Certification and Operation of Scientific Diving Programs*, fourth edition (2003), and hold as a minimum a scientific diver permit.

Cavern Training
The applicant is to participate in the following areas of training, or their equivalent:

Classroom Lecture and Critique - Applicant shall participate in classroom discussion or equivalent type activities covering these topics:
policy for cavern diving

cavern environment and environmental hazards

accident analysis

psychological considerations

equipment

body control

communications

cavern diving techniques

navigation and guidelines

dive planning

cave geology

cave hydrology

cave biology

emergency procedures.

Land Drills—The applicant shall participate in drills above water using the guideline and reel. Drills are to emphasize proper use of the reel, techniques and considerations for laying a guideline, guideline following, buddy communication, and emergency procedures.

Cavern Dives—A minimum of four (4) cavern dives, preferably to be conducted in a minimum of two (2) different caverns. Skills the applicant should demonstrate include:

Safety drill (S-drill)

gear matching

bubble check prior to entering the cavern on each dive

proper buoyancy compensator use

proper trim and body positioning

hovering and buoyancy with hand tasks

specialized propulsion techniques (modified flutter kick, modified frog kick, pull and glide, ceiling walk or shuffle)

proper guideline and reel use

ability to follow the guideline with no visibility

sharing air while following a guideline

sharing air while following the guideline with no visibility light and hand signal use

ability to comfortably work in a cavern without assistance

Written Examination - A written evaluation approved by the DCB with a predetermined passing score, covering concepts of both classroom and practical training is required.

Cave Diver
Prerequisites
The applicant for training shall hold as a minimum a cavern diver permit.

Cave Training
The applicant is to participate in the following areas of training, or their equivalent:

Classroom Lecture and Critique—The applicant shall participate in classroom discussion or equivalent type activities covering these topics:

rview of the topics listed in cavern diver training and differing techniques and procedures used in cave diving

additional equipment procedures used in cave diving

cave diving equipment configurations

procedures for conducting diving operations involving complex navigation and use of line markers

advanced gas management and a thorough review of dive tables

decompression tables

decompression theory

Land Drills—The applicant shall participate in drills above water included in cavern training. Drills are to emphasize proper use of the reel in lost diver procedures, as well as line placements and station location as required for surveying.

Cave Dives—A minimum of twelve (12) cave dives, to be conducted in a minimum of four (4) different cave sites with differing conditions recommended. Skills the applicant should demonstrate include:

review of skills listed in cavern training

special techniques in buoyancy control

referencing and back-up navigation

air sharing in a minor restriction using a single file method

special propulsion techniques in heavy outflow

anti-silting techniques

line jumping techniques and protocols

surveying

ability to critique their dives

Emergency procedures training shall include proficiency in lost line, lost diver, gas sharing, light failure, valve manipulation, and no/low visibility situations.

Written Examination - A written evaluation approved by the DCB with a predetermined passing score, covering concepts of both classroom and practical training is required.

13.40 Equipment Requirements
Equipment used for SCUBA in cave or cavern diving is based on the concept of redundancy. Redundant SCUBA equipment shall be carried whenever the planned penetration distances are such that an emergency swimming ascent is not theoretically possible.

Cavern Diving Equipment
The following equipment shall be required, in excess of that detailed for open water SCUBA diving in Volume 1, Section 3.00. Each member of the dive team shall have:

At minimum, a single tank equipped with an “H” valve or an alternate air supply

A BCD capable of being inflated from the tank

Slate and pencil

Two battery powered secondary lights of an approved type

Knife or line cutter

One primary reel of at least 350 feet for each team

Snorkel—No snorkel shall be worn while inside underwater cave or cavern

Cave Diving Equipment
The following equipment shall be required, in excess of that detailed for cavern diving: Each member of the dive team shall have:

Cylinders with dual orifice isolation valve manifold or independent SCUBA systems each capable of maintaining enough gas for the diver during exit and ascent to the surface

Two completely independent regulators, at least one of each having submersible tank pressure gauge, a five foot or longer second stage hose, low pressure inflator for the BCD

A primary light with sufficient burn time for the planned dive.

Safety reel with at least 150 feet of line

Appropriate submersible dive tables and/or dive computer (computers w/ backup tables)

Line markers

Snorkel—No snorkel shall be worn while inside underwater cave or cavern

13.50 Operational Requirements and Safety Protocols
All members of the dive team must have met the applicable all sections of Volume One and applicable sections of Volume Two of the AAUS manual and be authorized for that type of diving by the DCB before conducting scientific cave dives.

Cavern Diver Procedures
Cavern diving shall not be conducted at depths greater than 100 feet.

Dive teams shall perform a safety drill prior to each cave or cavern penetration that includes equipment check, gas management, and dive objectives.

Each team within the cavern zone must utilize a continuous guideline appropriate for the environment leading to a point from which an uninterrupted ascent to the surface may be made.

Gas management must be appropriate for the planned dive with special considerations made for; DPV's, siphon diving, rebreathers, etc.

The entire dive team is to immediately terminate the dive whenever any dive team member feels an unsafe condition is present.

Cave Diving Procedures
Dive teams shall perform a safety drill prior to each cave or cavern penetration that includes equipment check, gas management, and dive objectives.

Diver teams must run or follow a continuous guideline from the surface pool to maximum penetration.

Gas management must be appropriate for the planned dive with special considerations made for: DPV's, siphon diving, rebreathers, etc.

Each diver must carry one primary and two back up lights.

Divers utilizing side mount diving or other dual independent diving systems must have the approval of the Diving Safety Officer or his/her designee.

The entire dive team is to immediately terminate the dive whenever any dive team member feels an unsafe condition is present.

VOLUME 3

SAFE BOATING MANUAL
UMCP BOATING MANUAL

Authority

The University of Maryland, College Park, Office of Risk Management has determined that guidelines for vessels used by employees shall be adopted. The Diving Safety Officer (DSO) or an appointee determined by the Office of Risk Management shall over see the safe boating program. In 2007 the DSO was designated as the Safe Boating Officer (SBO). The Office of Risk Management may appoint a committee to review safe boating guidelines and programs. The membership of the committee should be comprised of faculty, students, or staff with knowledge of boating procedures. The UMCP may also use qualified persons for boat handling check outs.

I. Purpose and Applicability

A variety of boats, may be used by UM,CP employees to conduct research on water. The purpose of this policy is to ensure that operators meet certain safety guidelines and standards before being allowed to operate any boat under the auspices of the UM,CP.

II. General

A. Prior to operating any UM,CP vessel, individuals are required to be qualified as an operator.

B. Since there may be many different size boats used in research, each with different equipment, prospective operators must be checked out and demonstrate skills in the operation of any size boat. This requirement may be waived by SBO after review of operator's experience and credentials.

The prospective operator should be able to demonstrate a knowledge of the following to the Boating Officer: or his/her designee:

1. An understanding of the vessel, its systems, and equipment
2. Trailer procedure and checklist
3. Perform a pre-cruise/field trip check (complete appropriate checklist)
4. Knowledge and use of installed safety and emergency equipment
5. VHF-FM radio operation and protocol; distress procedures
6. Fire, emergency and man overboard procedures
7. Docking and undocking the vessel
8. Boat handling skills and judgment while underway
9. An understanding of the Navigation Rules
10. Recognition of the Aids to Navigation
11. Anchoring the vessel
12. Post-cruise/field trip obligations, fueling and log book entries

C. For any size boat primarily launched from a trailer, individuals should demonstrate knowledge and proficiency in hook-up, over-the-road operations, and launch and recovery. The UM,CP does not provide training for trailered boats.

D. These requirements are intended to qualify operators for boat operation during daylight hours. Projects which require boat operation at night will be determined by the UM,CP SBO on a case by case basis.

III. Certification

A. The Maryland Boating Safety Education Act requires that any person born after July 1, 1972, who operates a numbered or documented vessel on Maryland waters, be in possession of a Certificate of Boating Safety Education. Prospective boat operators born after July 1, 1972 are required to obtain this Certificate prior to the Demonstration of Skills portion of the UM,CP certification process.

Prospective operators can obtain the Maryland Boating Safety Education Certificate online. Go to http://www.boat-ed.com/md/index.htm for course information.

Those persons born after July 1, 1972, are exempted from the above requirements if they are licensed by the U.S. Coast Guard to operate a commercial vessel.

B. Prospective operators who currently posses the necessary certification and who have had previous experience may qualify as an operator immediately.

C. Prospective operators who do not have the necessary certification and boat experience are responsible for making their own arrangements for obtaining boating safety instruction and on the water practice.

IV. Motorized Vessel Operations

Ultimate responsibility for safe operation rests with the boat operator. It is his/her duty to refuse to operate a vessel if in his/her judgment, conditions are unsafe or if he/she would be violating the precepts of his/her training or the rules of the UM,CP.

Federal and state laws require operator responsibility for making sure all gear, vessel systems, and equipment required by federal and//or state regulation, or that directly affect personal or vessel safety, are working properly before departure.

The equipment required for all classes of vessels is available from the Maryland Natural Resource Police at: http://www.dnr.state.md.us/boating/safety/sfrequire.html

The US Coast Guard Auxiliary shall inspect all motorized vessels annually. The USCG Auxiliary provides this service for the purpose of inspecting all safety and emergency equipment to insure compliance with USCG safety regulations. Equipment will be checked for quantities, condition, expiration dates, accessibility, and operation. Equipment found in unsatisfactory

condition or out of date shall be removed and replaced. A copy of this report shall be kept on file with SBO.

The boat operator of a vessel is liable for violations. The operator of a vessel is ultimately responsible for his/her own safety and the safety of the vessel and its crew. Therefore, boat operators are responsible for understanding and abiding by Federal, State, local and UM,CP regulations concerning safety, rules of the road, vessel usage, certification and required equipment.

An unsafe condition is grounds to cancel an operation or discontinue an operation in progress.

No person shall alter any vessel safety system or lifesaving apparatus.

In emergencies or other cases where it is necessary to deviate from accepted procedures, boat operators may use their own discretion, but may be required to justify their actions in a written report to the SBO Office.

Boat operators must assure that trailers used on UM,CP operations meet all Federal, State, local, and UM,CP requirements for safety.

Boat operators are required to follow legal limits set forth on the boat's capacity plate.

Boat operators must report accidents to the SBO office as soon as possible after occurrence. An accident form must be filed with the SBO within 24 hours of the accident. See definitions.

Boat operators are required to file a float plan in accordance with this document prior to boating operations. The purpose of a float plan is to have a shore-based person who knows the identity of those persons on the water, where they are going, and when they are coming back. If the persons on the water become overdue, the shore-based contact is responsible for notifying proper authorities.

Boat operators are required to check weather forecasts for the operating area before beginning operations.

Operators are required to share information related to safety equipment with everyone on board prior to departure. This information includes location of safety equipment, wearing of safety equipment, safety concerns while underway, location of accident procedures checklist, and any information relative to safety and the vessel mission.

Boat operators must be certain that prior to boarding, all passengers have signed the required waiver of liability/indemnity agreement form, unless prior approval is obtained from the SBO office. (http://www.des.umd.edu/risk_comm/diving/forms/waiver.doc)

UM,CP boats are "DRUG FREE VESSELS" as defined by the Coast Guard. Illegal substances are not permitted nor tolerated aboard. It is the operator's responsibility to assure as best as possible, that there are no illegal drugs on board and that all passengers and crew are free of the

effects of any drugs that may cause impairment in judgment critical to the safe operation on an UM,CP vessel. Any person found to be in possession of illegal substances aboard an UM,CP boat would be permanently denied access, in any capacity, to UM,CP vessels. UM,CP alcohol policies shall be adhered to on all vessels.

Vessels shall be operated at a safe speed to avoid collision, property damage and passenger injury. In determining safe speed factors to be considered are: weather, vessel maneuverability, visibility, traffic, sea state, current, navigation hazards, draft, depth of water, the possibility of floating objects and other factors relative to safety.

It is the responsibility of the boat operator to use every reasonable means to become familiar with the intended areas of operation. This may include requesting , review of charts, Coast Guard NOTAMS, Coast Guard radio advisories, local information and any other means available.

All persons on UMCP vessels shall wear appropriate protective clothing and safety equipment for the conditions. Including but not limited to: Life Jackets, gloves, a hard hat, hearing protection, safety glasses, deck shoes and/or steel toed shoes.

Procedures
Daily operating procedures such as scheduling and equipment checkout should be established to accommodate the needs of units. All UM,CP units must adhere to the basic operating procedures:

1. Provide a universal or boat specific pre-cruise/field trip checklist that must be completed prior to each cruise. Establish protocol to review the checklist to ensure follow-up to correct deficiencies.
2. Establish protocol for mechanical breakdown or other circumstances where non-emergency assistance is needed during operations. For example, contract with marine towing service to provide assistance.
3. Establish a procedure to follow up on accident reports (copy of this report to be forwarded to UM,CP Safe Boating Officer.
4. Provide instructions for fueling each boat to include fuel tank capacity, fuel type/octane rating and lube oil requirements.
5. Implement a preventative maintenance schedule for each boat and motor.
6. Establish a reporting system utilizing a simple Float Plan to designate a shore contact to monitor cruise/field trip activities. The boat operator will contact this individual when:
    a. A cruise/field trip is delayed beyond its expected time of return
    b. To close out the float plan at the end of the days activities

c. If the boat operator does not report a safe return and two hours have elapsed beyond the return time designated on the Float Plan, the contact will initiate emergency response activities

d. A Float Plan should include the following information and must be filed electronically or by other means with the shore person and at least one other responsible individual (e.g. project PI)

i. Date(s) of cruise/field trip
ii. Boat name
iii. Name of operator and cell phone number
iv. Departure Time
v. Return Time
vi. Destination and Sampling Plan
vii. Names of other persons on board
viii. Shore contact name and phone number

e. Float Plans are available as pdf documents on the web through the UM,CP Office of Risk Management at http://www.des.umd.edu/general/form.html

7. At a minimum, UM,CP vessels must carry the following USCG approved safety equipment

a. A Type I or Type II personal floatation device (PFD) for each person on board
b. A Type II or Type V float coat or anti-exposure coverall for each person carried on board while operations are conducted when water temperatures are below 60°F
c. One TYPE IV throw able device (Cushion or Ring Buoy)
d. Sufficient Type III or Type V floatation vest to don when working over the side
e. Fire extinguisher of type appropriate to length of boat
f. Three combination day/night visual distress flares
g. Permanently installed or hand held air horn or whistle
h. Permanently installed or hand held VHF-FM radio

8. Charts for the area of operation and/or GPS device are strongly recommended
9. Each UM,CP boat operator is to have a personal or lab issued cell phone on board and turned on during vessel operations

V. Use Of Third Party Vessels For Department Research

At-sea activities may require larger, different, or more specialized vessel support and capability than is available though UM,CP. Examples are research with large or heavy in-water equipment, research sponsors chartering third party vessels, or research conducted in off-site locations that require the charter or lease of outside vessels.

Outside vessel charters are considered on a case-by-case basis. The operation of, and operational responsibility for, these vessels is outside the auspices of the UM,CP Boating Safety Manual. However, PIs and other UM,CP users are encouraged to use outside vessels only after considering insurance, liability, and other aspects. PIs and researchers are not permitted to use privately owned vessels without review and approval of the UM,CP Office of General Counsel.

There is no requirement to invoke the policies and procedures of the UM,CP Boating Safety Manual in cases where a grant sponsor or outside agency independently provides a platform or vessel for supporting UM,CP research activities. UM,CP may require that PIs involved in the cruise provide their own safety devices as outlined in the UM,CP Float Plan.

VI. Vessel Staffing

Manning Documentation Requirements

All persons authorized to operate motorized vessels under the auspicies of UM,CP shall have a copy of their USCG Masters or Operators license or approved Safe Boating Course Certificate on file. This documentation and information shall be retained on file by SBO.

VII. Non-motorized Vessels

Non-motorized boats belonging to UM,CP, as well as those owned personally by an employee or student, or rented or chartered must adhere to the following requirements:

Each person must wear a Coast Guard approved Personal Floatation Device (PFD).

At least one kayak/canoe/small boat in the group must be equipped with a means of communication such as a cell phone or handheld radio. These items should be stored in waterproof floating containers when not in use.

Kayaking/Canoeing or small boating alone is prohibited. The “buddy system” is to be employed in all boating activities conducted under the auspices of UM,CP.

Prior to each cruise, a float plan, submitted to the DSO or a delegated authority, must be completed including the names of all participants. Float plan forms can be found either in Appendix D of this manual or website (http://www.des.umd.edu/general/form.html) under the “Diving and Boating Safety Program” link.

Kayaks/Canoes/small boats should be equipped with a bow and/or stern line to aid in docking or towing. Lines should be properly stowed when not in use.

In the event that your kayak/canoe/small boat capsizes, account for your safety and the safety of others before attempting to recover equipment.

Offer your assistance to any other capsized boats.

Always remain within sight and voice contact of your group.

A first aid kit should be carried on each trip, and should contain, but not be limited to, sunscreen, insect repellent and basic first aid supplies.

Do not overload kayaks/canoes, small boats. If not stated on the boat, contact the manufacturer for weight capacity.

Get off the water as soon as possible when a storm threatens.

Inspect kayaks/canoes/small boats frequently to identify cracks or leaks. Damage to a kayak's bulkheads can severely hinder the vessel's buoyancy.

Use of kayaks/canoes/small boats while under the influence of alcohol or drugs is prohibited.

Definitions

1. Boat. As used in this manual, refers to craft less than 300 gross registered tons propelled by any means and used to carry people on a body of water, but does not include sea planes.

2. Overall Length (or length overall - LOA) as used in this manual, and as defined in 46 CFR 69.203, means the horizontal distance between the outboard side of the foremost part of the stem and the outboard side of the aftermost part of the stern, excluding rudders, outboard motor brackets, and other similar fittings and attachments.

3. Small Boat - Any non motorized boat, canoe, kayak etc

4. Qualified Operator- A qualified operator is defined as one who possesses the proper certification, and who can demonstrate to the UM,CP Boating Safety Officer (BSO) or his designee, required boat handling skills and procedures.

5. Motorboat Classifications. USCG vessel classifications are as follows:

a. Class A - less than 16 feet length overall;

b. Class I - 16 feet but less than 26 feet length overall;

c. Class II - 26 feet but less than 40 feet length overall;

d. Class III - 40 feet but not more than 65 feet length overall; and

e. Small Research Vessel (SRV)

6. Area of operation - Boating activities under the auspices of UMCP shall be restricted to Maryland, Delaware, and Virginia inland waters for vessels of Class A through II.

APPENDICES

Appendix 1 through 14 are contained in the following pages. Most are also available on the Department of Environmental Safety web pages under Diving and Boating Safety. See the website at:

http://www.des.umd.edu/risk_comm/diving/index.html

APPENDIX 1
DIVING MEDICAL EXAM OVERVIEW FOR THE EXAMINING PHYSICIAN

TO THE EXAMINING PHYSICIAN:
This person, _____, requires a medical examination to assess their fitness for certification as a Scientific Diver for the University of Maryland College park. Their answers on the Diving Medical History Form (attached) may indicate potential health or safety risks as noted. Your evaluation is requested on the Scuba Diving Fitness Medical Evaluation Report. If you have questions about diving medicine, you may wish to consult one of the references on the attached list or contact one of the physicians with expertise in diving medicine whose names and phone numbers appear on the list. Please contact the Diving Safety Officer if you have questions or concerns about diving medicine or University of Maryland, College Park standards. Thank you for your assistance.

William Sarro wsarro@umd.edu
Diving Safety Officer Date _____

_____ _____
Printed Name Phone Number

SCUBE and other modes of compressed-gas diving can be strenuous and hazardous. A special risk is present if the middle ear, sinuses, or lung segments do not readily equalize air pressure changes. The most common cause of distress is eustachian insufficiency. Most fatalities involve deficiencies in prudence, judgment, emotional stability, or physical fitness. Please consult the following list of conditions that usually restrict candidates from diving.

(Adapted from Bove, 1998: page numbers are bracketed)

CONDITIONS WHICH MAY DISQUALIFY CANDIDATES FROM DIVING

1. Abnormalities of the tympanic membrane, such as perforation, presence of a monomeric membrane, or inability to autoinflate the middle ears.[5 ,7, 8, 9]
2. Vertigo including Meniere's Disease.[13]
3. Stapedectomy or middle ear reconstructive surgery.[11]
4. Recent ocular surgery.[15, 18, 19]
5. Psychiatric disorders including claustrophobia, suicidal ideation, psychosis, anxiety states, untreated depression.[20 - 23]
6. Substance abuse, including alcohol.[24 - 25]
7. Episodic loss of consciousness.[1, 26, 27]
8. History of seizure.[27, 28]
9. History of stroke or a fixed neurological deficit.[29, 30]
10. Recurring neurologic disorders, including transient ischemic attacks.[29, 30]
11. History of intracranial aneurysm, other vascular malformation or intracranial hemorrhage.[31]
12. History of neurological decompression illness with residual deficit.[29, 30]
13. Head injury with sequelae.[26, 27]
14. Hematologic disorders including coagulopathies. 41, 42]

15. Evidence of coronary artery disease or high risk for coronary artery disease[i].[33 - 35]
16. Atrial septal defects.[39]
17. Significant valvular heart disease - isolated mitral valve prolapse is not disqualifying.[38]
18. Significant cardiac rhythm or conduction abnormalities.[36 - 37]
19. Implanted cardiac pacemakers and cardiac defibrillators (ICD).[39, 40]
20. Inadequate exercise tolerance.[34]
21. Severe hypertension.[35]
22. History of spontaneous or traumatic pneumothorax.[45]
23. Asthma[ii].[42 - 44]
24. Chronic pulmonary disease, including radiographic evidence of pulmonary blebs, bullae, or cysts.[45,46]
25. Diabetes mellitus.[46 - 47]
26. Pregnancy.[56]

SELECTED REFERENCES IN DIVING MEDICINE

Most of these are available from Best Publishing Company, P.O. Box 30100, Flagstaff, AZ 86003-0100, the Divers Alert Network (DAN) or the Undersea and Hyperbaric Medical Association (UHMS), Bethesda, MD.

ACC/AHA Guidelines for Exercise Testing. A report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines (Committee on Exercise Testing). Gibbons RJ, et al. 1997. Journal of the American College of Cardiology. 30:260-311. http://circ.ahajournals.org/cgi/content/full/96/1/345

- Alert Diver Magazine; articles on diving medicine http://www.diversalertnetwork.org/medical/articles/index.asp
- "Are Asthmatics Fit to Dive? " Elliott DH, ed. 1996, Undersea and Hyperbaric Medical Society, Kensington, MD.

"Assessment of Cardiovascular Risk by Use of Multiple-Risk-Factor Assessment Equations." Grundy et. al. 1999. AHA/ACC Scientific Statement
http://circ.ahajournals.org/cgi/reprint/circulationaha;100/13/1481

- DIVING MEDICINE, Third Edition, 1997. A. Bove and J. Davis. W.B. Saunders Company, Philadelphia
- DIVING AND SUBAQUATIC MEDICINE, Third Edition, 1994. C. Edmonds, C. Lowery and J. Pennefather. Butterworth-Heinemann Ltd. Oxford
- MEDICAL EXAMINATION OF SPORT SCUBA DIVERS, 1998. Alfred Bove, M.D., Ph.D. (ed.). Medical Seminars, Inc. San Antonio, TX
- NOAA DIVING MANUAL, NOAA. Superintendent of Documents, U.S. Government Printing Office, Washington, D.C.
- U.S. NAVY DIVING MANUAL. Superintendent of Documents, U.S. Government Printing Office, Washington, D.C.

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[i] "Assessment of Cardiovascular Risk by Use of Multiple-Risk-Factor Assessment Equations." Grundy et. al. 1999. AHA/ACC Scientific Statement.
http://www.acc.org/clinical/consensus/risk/risk1999.pdf

[ii] "Are Asthmatics Fit to Dive? " Elliott DH, ed. 1996 Undersea and Hyperbaric Medical Society, Kensington, MD.

APPENDIX 2
MEDICAL EVALUATION OF FITNESS FOR SCUBA DIVING REPORT

_____ _____

Name of Applicant (Print or Type) Date (Mo/Day/Year) (DOB - date of Birth)

To The PHYSICIAN:
This person is an applicant for training or is presently certified to engage in diving with self- contained underwater breathing apparatus (scuba). This is an activity that puts unusual stress on the individual in several ways. Your opinion on the applicant's medical fitness is requested. Scuba diving requires heavy exertion. The diver must be free of cardiovascular and respiratory disease. An absolute requirement is the ability of the lungs, middle ear and sinuses to equalize pressure. Any condition that risks the loss of consciousness should disqualify the applicant.
TESTS: Please initial that the following tests were completed.

[ ] Initial Examination

_____ Medical History
_____ Complete Physical Exam with emphasis on neurological and otological components
_____ Chest X-Ray
_____ Spirometry
_____ Hematocrit or Hemoglobin
_____ Urinalysis
_____ Any further tests deemed necessary by the physician

[ ] Re-examination
(Every 5 years under age 40, first exam over age 40, every 3 years over age 40, every 2 years over age 60)

_____ Medical History
_____ Complete Physical Exam, with emphasis on neurological and otological components
_____ Hematocrit or Hemoglobin
_____ Urinalysis
_____ Any further tests deemed necessary by the physician

Additional testing for first over age 40

_____ Resting EKG
_____ Assessment of coronary artery disease using Multiple-Risk-Factor Assessment[iii]
(age, lipid profile, blood pressure, diabetic screening, smoker) Note: Exercise stress testing may be indicated based on risk factor assessment[iv]

Additional testing for over age 40

_____ Resting EKG
_____ Assessment of coronary artery disease using Multiple-Risk-Factor Assessment[5]
(age, lipid profile, blood pressure, diabetic screening, smoker) Note: Exercise stress testing may be indicated based on risk factor assessment[6]

RECOMMENDATION:

[ ] APPROVAL. I find no medical condition(s) that I consider incompatible with diving.
[ ] **RESTRICTED ACTIVITY APPROVAL**. The applicant may dive in certain circumstances as described in REMARKS.

[iii] "Assessment of Cardiovascular Risk by Use of Multiple-Risk-Factor Assessment Equations." Grundy et. al. 1999. AHA/ACC Scientific Statement. http://www.acc.org/clinical/consensus/risk/risk1999.pdf
[6] Gibbons RJ, et al. ACC/AHA Guidelines for Exercise Testing. A report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines (Committee on Exercise Testing). Journal of the American College of Cardiology. 30:260-311, 1997.
http://www.acc.org/clinical/guidelines/exercise/exercise.pdf

[ ] **FURTHER TESTING REQUIRED**. I have encountered a potential contraindication to diving. Additional medical tests must be performed before a final assessment can be made. See REMARKS.
[ ] **REJECT**. This applicant has medical condition(s), which, in my opinion, clearly would constitute unacceptable hazards to health and safety in diving

REMARKS:

_____
_____
_____
_____
_____
_____

PHYSICIAN'S STATEMENT: I have evaluated the above-mentioned individual according to the American Academy of Underwater Sciences medical standards for scientific diving (Section 6.00), and find no conditions that may be disqualifying. I have discussed with the patient any medical condition(s) that would not disqualify him/her from diving but which may seriously compromise subsequent health. The patient understands the nature of the hazards and the risks involved in diving with these conditions.

_____ MD or DO

Date Signature

Name (Print or Type)

Address

Telephone Number

My familiarity with applicant is:

_____With this exam only
_____Regular Physician for _____ years
_____Other (describe)_____

My familiarity with diving medicine is:

APPLICANT'S RELEASE OF MEDICAL INFORMATION FORM

I authorize the release of this information and all medical information subsequently acquired in association with my diving to the Diving Safety Officer and Diving Control Board or their designee at the University of Maryland, College Park on (date)_____

Signature of Applicant _____

APPENDIX 3
DIVING MEDICAL HISTORY FORM

(To be completed by applicant diver)

Name _____ Sex _____ Age ____ Wt.____ Ht. ____

Sponsor _____ Date ___/___/___
(College / Department / Project, etc.) (Mo/Day/Yr)

TO THE APPLICANT:

Scuba diving makes considerable demands on you, both physically and mentally. Diving with certain medical conditions may be asking for trouble not only for yourself, but also to anyone coming to your aid if you get into difficulty in the water. Therefore, it is prudent to meet certain medical and physical requirements before beginning a diving or training program.

Your answers to the following questions are as important in determining your fitness as your physical examination. You should give accurate information or medical screening becomes useless.

This form shall be kept confidential. If you believe any question amounts to invasion of your privacy, you may elect to omit an answer, provided that you shall subsequently discuss that matter with your own physician and they must then indicate, in writing, that you have done so and that no health hazard exists.

Should your answers indicate a condition, which might make diving hazardous, you will be asked to review the matter with your physician. In such instances, their written authorization will be required for further consideration to be given to your application. If your physician concludes that diving would involve undue risk for you, remember that they are concerned only with your well-being and safety. Please respect the advice and the intent of this medical history form.

	Have you ever had or do you presently have any of the following?	**Yes**	**No**	**Comments**
1.	Trouble with your ears, including ruptured eardrum, difficulty clearing your ears, or surgery.			
2.	Trouble with dizziness.			
3.	Eye surgery.			
4.	Depression, anxiety, claustrophobia, etc.			
5.	Substance abuse, including alcohol.			
6.	Loss of consciousness.			
7.	Epilepsy or other seizures, convulsions, or fits.			
8.	Stroke or a fixed neurological deficit.			
9.	Recurring neurologic disorders, including transient ischemic attacks.			
10.	Aneurysms or bleeding in the brain.			
11.	Decompression sickness or embolism.			
12.	Head injury.			
13.	Disorders of the blood, or easy bleeding.			

14.	Heart disease, diabetes, high cholesterol.			
15.	Anatomical heart abnormalities including patent foramen ovale, valve problems, etc.			
16.	Heart rhythm problems.			
17.	Need for a pacemaker.			
18.	Difficulty with exercise.			
19.	High blood pressure.			
20.	Collapsed lung.			
21.	Asthma.			
22.	Other lung disease.			
23.	Diabetes mellitus.			
24.	Pregnancy.			
25	Surgery If yes explain below.			
26.	Hospitalizations. If yes explain below.			
27.	Do you take any medications? If yes list below.			
28.	Do you have any allergies to medications, foods, and environmentals? If yes explain below.			
29.	Do you smoke?			
30.	Do you drink alcoholic beverages?			
31.	Is there a family history of high cholesterol?			
32.	Is there a family history of heart disease or stroke?			
33.	Is there a family history of diabetes?			
34.	Is there a family history of asthma?			

Please explain any “yes” answers to the above questions.

_____
_____
_____
_____
_____
_____
_____
_____
_____

I certify that the above answers and information represent an accurate and complete description of my medical history.

_____ _____

Signature Date

APPENDIX 4
RECOMMENDED PHYSICIANS WITH EXPERTISE IN DIVING MEDICINE

List of local Medical Doctors that have training and expertise in diving or undersea medicine:

1. Name: _____

   Address: _____

   Phone: _____
2. Name: _____

   Address: _____

   Phone: _____
3. Name: _____

   Address: _____

   Phone: _____
4. Name: _____

   Address: _____

   Phone: _____
5. Name: _____

   Address: _____

   Phone: _____

APPENDIX 5
DEFINITION OF TERMS

Air sharing - Sharing of an air supply between divers.

ATA(s) - “Atmospheres Absolute”, Total pressure exerted on an object, by a gas or mixture of gases, at a specific depth or elevation, including normal atmospheric pressure.

Breath-hold Diving - A diving mode in which the diver uses no self-contained or surface-supplied air or oxygen supply.

Buddy Breathing - Sharing of a single air source between divers.

Buddy Diver - Second member of the dive team.

Buddy System -Two comparably equipped scuba divers in the water in constant communication.

Buoyant Ascent - An ascent made using some form of positive buoyancy.

Burst Pressure - Pressure at which a pressure containment device would fail structurally.

Certified Diver - A diver who holds a recognized valid certification from an organizational member or internationally recognized certifying agency.

Controlled Ascent - Any one of several kinds of ascents including normal, swimming, and air sharing ascents where the diver(s) maintain control so a pause or stop can be made during the ascent.

Cylinder - A pressure vessel for the storage of gases.

Decompression Chamber - A pressure vessel for human occupancy. Also called a hyperbaric chamber or decompression chamber.

Decompression Sickness - A condition with a variety of symptoms, which may result from gas, and bubbles in the tissues of divers after pressure reduction.

Dive - A descent into the water, an underwater diving activity utilizing compressed gas, an ascent, and return to the surface.

Dive Computer- A microprocessor based device which computes a diver’s theoretical decompression status, in real time, by using pressure (depth) and time as input to a decompression model, or set of decompression tables, programmed into the device.

Dive Location - A surface or vessel from which a diving operation is conducted.

Dive Site - Physical location of a diver during a dive.

Dive Table - A profile or set of profiles of depth-time relationships for ascent rates and breathing mixtures to be followed after a specific depth-time exposure or exposures.

Diver - An individual in the water who uses apparatus, including snorkel, which supplies breathing gas at ambient pressure.

Diver-In-Training - An individual gaining experience and training in additional diving activities under the supervision of a dive team member experienced in those activities.

Diver-Carried Reserve Breathing Gas - A diver-carried independent supply of air or mixed gas (as appropriate) sufficient under standard operating conditions to allow the diver to reach the surface, or another source of breathing gas, or to be reached by another diver.

Diving Mode - A type of diving required specific equipment, procedures, and techniques, for example, snorkel, scuba, surface-supplied air, or mixed gas.

Diving Control Board (DCB) - Group of individuals who act as the official representative of the membership organization in matters concerning the scientific diving program (Section 1.24).

Diving Safety Officer (DSO) - Individual responsible for the safe conduct of the scientific diving program of the membership organization (Section 1.20).

EAD - Equivalent Air Depth (see below).

Emergency Ascent - An ascent made under emergency conditions where the diver exceeds the normal ascent rate.

Enriched Air (EANx) - A name for a breathing mixture of air and oxygen when the percent of oxygen exceeds 21%. This term is considered synonymous with the term "nitrox" (Section 7.00).

Equivalent Air Depth (EAD) - Depth at which air will have the same nitrogen partial pressure as the nitrox mixture being used. This number, expressed in units of feet seawater or saltwater, will always be less than the actual depth for any enriched air mixture.

fN_2 - Fraction of nitrogen in a gas mixture, expressed as either a decimal or percentage, by volume.

fO_2 - Fraction of oxygen in a gas mixture, expressed as either a decimal or percentage, by volume.

FFW – Feet or freshwater, or equivalent static head.

FSW - Feet of seawater, or equivalent static head.

Hookah - While similar to Surface Supplied in that the breathing gas is supplied from the surface by means of a pressurized hose, the supply hose does not require a strength member, pneumofathometer hose, or communication line. Hookah equipment may be as simple as a long hose attached to a standard scuba cylinder supplying a standard scuba second stage. The diver is responsible for the monitoring his/her own depth, time, and diving profile.

Hyperbaric Chamber - See decompression chamber.

Hyperbaric Conditions - Pressure conditions in excess of normal atmospheric pressure at the dive location.

Lead Diver - Certified scientific diver with experience and training to conduct the diving operation.

Maximum Working Pressure - Maximum pressure to which a pressure vessel may be exposed under standard operating conditions.

Organizational Member - An organization which is a current member of the AAUS, and which has a program, which adheres to the standards of the AAUS as, set forth in the AAUS Standards for Scientific Diving Certification and Operation of Scientific Diving Programs.

Mixed Gas - MG

Mixed-Gas Diving - A diving mode in which the diver is supplied in the water with a breathing gas other than air.

MOD - Maximum Operating Depth, usually determined as the depth at which the pO_2 for a given gas mixture reaches a predetermined maximum.

MSW - Meters of seawater or equivalent static head.

Nitrox - Any gas mixture comprised predominately of nitrogen and oxygen, most frequently containing between 21% and 40% oxygen. Also be referred to as Enriched Air Nitrox, abbreviated EAN.

NOAA Diving Manual: Refers to the *NOAA Diving Manual, Diving for Science and Technology*, 2001 edition. National Oceanic and Atmospheric Administration, Office of Undersea Research, US Department of Commerce.

No-Decompression limits - Depth-time limits of the "no-decompression limits and repetitive dive group designations table for no-decompression air dives" of the U.S. Navy Diving Manual or equivalent limits.

Normal Ascent - An ascent made with an adequate air supply at a rate of 60 feet per minute or less.

Oxygen Clean - All combustible contaminants have been removed.

Oxygen Compatible - A gas delivery system that has components (o-rings, valve seats, diaphragms, etc.) that are compatible with oxygen at a stated pressure and temperature.

Oxygen Service - A gas delivery system that is both oxygen clean and oxygen compatible.

Oxygen Toxicity Unit - OTU

Oxygen Toxicity - Any adverse reaction of the central nervous system ("acute" or "CNS" oxygen toxicity) or lungs ("chronic", "whole-body", or "pulmonary" oxygen toxicity) brought on by exposure to an increased (above atmospheric levels) partial pressure of oxygen.

Pressure-Related Injury - An injury resulting from pressure disequilibrium within the body as the result of hyperbaric exposure. Examples include: decompression sickness, pneumothorax, mediastinal emphysema, air embolism, subcutaneous emphysema, or ruptured eardrum.

Pressure Vessel - See cylinder.

pN_2 - Inspired partial pressure of nitrogen, usually expressed in units of atmospheres absolute.

pO_2 - Inspired partial pressure of oxygen, usually expressed in units of atmospheres absolute.

Psi - Unit of pressure, "pounds per square inch.

Psig - Unit of pressure, "pounds per square inch gauge.

Recompression Chamber - see decompression chamber.

Scientific Diving - Scientific diving is defined (29CFR1910.402) as diving performed solely as a necessary part of a scientific, research, or educational activity by employees whose sole purpose for diving is to perform scientific research tasks.

Scuba Diving - A diving mode independent of surface supply in which the diver uses open circuit self-contained underwater breathing apparatus.

Standby Diver - A diver at the dive location capable of rendering assistance to a diver in the water.

Surface Supplied Diving - Surface Supplied: Dives where the breathing gas is supplied from the surface by means of a pressurized umbilical hose. The umbilical generally consists of a gas supply hose, strength member, pneumofathometer hose, and communication line. The umbilical supplies a helmet or full-face mask. The diver may rely on the tender at the surface to keep up with the divers' depth, time and diving profile.

Swimming Ascent - An ascent, which can be done under normal or emergency conditions accomplished by simply swimming to the surface.

Umbilical - Composite hose bundle between a dive location and a diver or bell, or between a diver and a bell, which supplies a diver or bell with breathing gas, communications, power, or heat, as appropriate to the diving mode or conditions, and includes a safety line between the diver and the dive location.

Working Pressure - Normal pressure at which the system is designed to operate.

APPENDIX 6
AAUS REQUEST FOR DIVING RECIPROCITY FORM
VERIFICATION OF DIVER TRAINING AND EXPERIENCE

Diver:_____ Date:_____
This letter serves to verify that the above listed person has met the training and pre-requisites as indicated below, and has completed all requirements necessary to be certified as a *(Scientific Diver/Diver in Training)* as established by the UM,CP Diving Safety Manual, and has demonstrated competency in the indicated area. UM,CP is an AAUS Organizational Member and meets or exceeds all AAUS training requirements.

The following is a brief summary of this diver's personnel file regarding dive status as of

_____(Date)

_____ Original diving authorization
_____ Written scientific diving examination
_____ Last diving medical examination Medical examination expiration date_____
_____ Most recent checkout dive
_____ Scuba regulator/equipment service/testing
_____ CPR training (Agency) _____ CPR Exp. _____
_____ Oxygen administration (Agency) _____ 02 Exp. _____
_____ First aid for diving _____ F.A. Exp.
_____
_____ Date of last dive _____ Depth

Number of dives completed within previous 12 months?_____ Depth Certification_____ fsw

Total number of career dives? _____

Any restrictions? (Y/N)_____ if yes, explain:

Please indicate any pertinent specialty certifications or training:

Emergency Information:
Name: _____ Relationship: _____

Telephone: (work) _____ (home / cell) _____

Address: _____
_____

This is to verify that the above individual is currently a certified scientific diver at UM,CP
Diving Safety Officer:

_____ _____
(Signature) (Date)

_____
(Print)

APPENDIX 7
DIVING EMERGENCY MANAGEMENT PROCEDURES

Introduction

A diving accident victim could be any person who has been breathing air underwater regardless of depth. It is essential that emergency procedures are pre-planned and that medical treatment is initiated as soon as possible. It is the responsibility of each AAUS organizational member to develop procedures for diving emergencies including evacuation and medical treatment for each dive location.

General Procedures

Depending on and according to the nature of the diving accident:

1. Make appropriate contact with victim or rescue as required.
2. Establish (A)irway, (B)reathing, (C)irculation as required.
3. Stabilize the victim
3. Administer 100% oxygen in cases of Decompression Illness, or Near Drowning.
4. Call local Emergency Medical System (EMS) for transport to nearest medical treatment facility. Explain the circumstances of the dive incident to the evacuation teams, medics and physicians. Do not assume that they understand why 100% oxygen may be required for the diving accident victim or that recompression treatment may be necessary.
5. Call appropriate Diving Accident Coordinator for contact with diving physician and decompression chamber. etc.
6. Notify DSO or designee according to the Emergency Action Plan.
7. Complete and submit Incident Report Form (www.aaus.org) to the DCB of the organization and the AAUS (Section 2.70 Required Incident Reporting).

List of Emergency Contact Numbers Appropriate For Dive Location

_____

_____

_____

_____

_____

_____

_____

_____

_____

_____

Available Procedures

- Emergency care
- Recompression
- Evacuation

Emergency Plan Content

- Name, telephone number, and relationship of person to be contacted for each diver in the event of an emergency
- Nearest operational decompression chamber
- Nearest accessible hospital
- Available means of transport

APPENDIX 8
DIVE COMPUTER GUIDELINES

1. Only those makes and models of dive computers specifically approved by the Diving Control Board may be used.

2. Any diver desiring the approval to use a dive computer as a means of determining decompression status must apply to the Diving Control Board, complete an appropriate practical training session, and pass a written examination.

3. Each diver relying on a dive computer to plan dives and indicate or determine decompression status must have his/her own unit.

4. On any given dive, both divers in the buddy pair must follow the most conservative dive computer.

5. If the dive computer fails at any time during the dive, the dive must be terminated and appropriate surfacing procedures initiated immediately.

6. A diver should not dive for 18 hours before activating a dive computer to use it to control their diving.

7. Once the dive computer is in use, it must not be switched off until it indicates complete out gassing has occurred or 18 hours have elapsed, whichever comes first.

8. When using a dive computer, non emergency ascents are to be at a rate specified for the make and model of dive computer being used.

10. Whenever practical, divers using a dive computer should make a stop between 10 and 30 feet for 5 minutes, especially for dives below 60 fsw.

11. Multiple deep dives require special consideration.

APPENDIX 9
AAUS STATISTICS COLLECTION, CRITERIA, AND DEFINITIONS

COLLECTION CRITERIA: The "Dive Time in Minutes", The Number of Dives Logged", and the "Number of Divers Logging Dives" will be collected for the following categories.

- Dive Classification
- Breathing Gas
- Diving Mode
- Decompression Planning and Calculation Method
- Depth Ranges
- Specialized Environments
- Incident Types

Dive Time in Minutes is defined as the surface to surface time including any safety or required decompression stops.

A Dive is defined as a descent into water, an underwater diving activity utilizing compressed gas, an ascent/return to the surface, and a surface interval of greater than 10 minutes.

Dives will not be differentiated as openwater or confined water dives. But openwater and confined water dives will be logged and submitted for AAUS statistics classified as either scientific or training/proficiency.

A "Diver Logging a Dive" is defined as a person who is diving under the auspices of your scientific diving organization. Dives logged by divers from another AAUS Organization will be reported with the divers home organization. Only a diver who has actually logged a dive during the reporting period is counted under this category.

Incident(s) occurring during the collection cycle. Only incidents occurring during, or resulting from, a dive where the diver is breathing a compressed gas will be submitted to AAUS.

DEFINITIONS:

Dive Classification:

- Scientific Dives: Dives that meet the scientific diving exemption as defined in 29 CFR 1910.402. Diving tasks traditionally associated with a specific scientific discipline are considered a scientific dive. Construction and trouble-shooting tasks traditionally associated with commercial diving are not considered a scientific dive.
- Training and Proficiency Dives: Dives performed as part of a scientific diver training program, or dives performed in maintenance of a scientific diving certification/authorization.

Breathing Gas:

- Air: Dives where the bottom gas used for the dive is air.
- Nitrox: Dives where the bottom gas used for the dive is a combination of nitrogen and oxygen other than air.
- Mixed Gas: Dives where the bottom gas used for the dive is a combination of oxygen, nitrogen, and helium (or other "exotic" gas), or any other breathing gas combination not classified as air or nitrox.

Diving Mode:

- Open Circuit Scuba: Dives where the breathing gas is inhaled from a self contained underwater breathing apparatus and all of the exhaled gas leaves the breathing loop.
- Surface Supplied: Dives where the breathing gas is supplied from the surface by means of a pressurized umbilical hose. The umbilical generally consists of a gas supply hose, strength member, pneumofathometer hose, and communication line. The umbilical supplies a helmet or full-face mask. The diver may rely on the tender at the surface to keep up with the divers' depth, time and diving profile.
- Hookah: While similar to Surface Supplied in that the breathing gas is supplied from the surface by means of a pressurized hose, the supply hose does not require a strength member, pneumofathometer hose, or communication line. Hookah equipment may be as simple as a long hose attached to a standard scuba cylinder supplying a standard scuba second stage. The diver is responsible for the monitoring his/her own depth, time, and diving profile.
- Rebreathers: Dives where the breathing gas is repeatedly recycled in the breathing loop. The breathing loop may be fully closed or semi-closed. Note: A rebreather dive ending in an open circuit bailout is still logged as a rebreather dive.

Decompression Planning and Calculation Method:

- Dive Tables
- Dive Computer
- PC Based Decompression Software

Depth Ranges:

Depth ranges for sorting logged dives are 0-30, 31-60, 61-100, 101-130, 131-150, 151-190, and 191->. Depths are in feet seawater. A dive is logged to the maximum depth reached during the dive. Note: Only "The Number of Dives Logged" and "The Number of Divers Logging Dives" will be collected for this category.

Specialized Environments:

- Required Decompression: Any dive where the diver exceeds the no-decompression limit of the decompression planning method being employed.
- Overhead Environments: Any dive where the diver does not have direct access to the surface due to a physical obstruction.
- Blue Water Diving: Openwater diving where the bottom is generally greater than 200 feet deep and requiring the use of multiple-tethered diving techniques.

- Ice and Polar Diving: Any dive conducted under ice or in polar conditions. Note: An Ice Dive would also be classified as an Overhead Environment dive.

- Saturation Diving: Excursion dives conducted as part of a saturation mission are to be logged by "classification", "mode", "gas", etc. The "surface" for these excursions is defined as leaving and surfacing within the Habitat. Time spent within the Habitat or chamber shall not be logged by AAUS.

- Aquarium: An aquarium is a shallow, confined body of water, which is operated by or under the control of an institution and is used for the purposes of specimen exhibit, education, husbandry, or research. (Not a swimming pool)

Incident Types:

- Hyperbaric: Decompression Sickness, AGE, or other barotrauma requiring recompression therapy.
- Barotrauma: Barotrauma requiring medical attention from a physician or medical facility, but not requiring recompression therapy.
- Injury: Any non-barotrauma injury occurring during a dive that requires medical attention from a physician or medical facility.
- Illness: Any illness requiring medical attention that can be attributed to diving.
- Near Drowning/ Hypoxia: An incident where a person asphyxiates to the minimum point of unconsciousness during a dive involving a compressed gas. But the person recovers.
- Hyperoxic/Oxygen Toxicity: An incident that can be attributed to the diver being exposed to too high a partial pressure of oxygen.
- Hypercapnea: An incident that can be attributed to the diver being exposed to an excess of carbon dioxide.
- Fatality: Any death accruing during a dive or resulting from the diving exposure.
- Other: An incident that does not fit one of the listed incident types

Incident Classification Rating Scale:

- Minor: Injuries that the OM considers being minor in nature. Examples of this classification of incident would include, but not be limited to:
    - Mask squeeze that produced discoloration of the eyes.
    - Lacerations requiring medical attention but not involving moderate or severe bleeding.
    - Other injuries that would not be expected to produce long term adverse effects on the diver's health or diving status.
- Moderate: Injuries that the OM considers being moderate in nature. Examples of this classification would include, but not be limited to:

- DCS symptoms that resolved with the administration of oxygen, hyperbaric treatment given as a precaution.
- DCS symptoms resolved with the first hyperbaric treatment.
- Broken bones.
- Torn ligaments or cartilage.
- Concussion.
- Ear barotrauma requiring surgical repair.

- Serious: Injuries that the OM considers being serious in nature. Examples of this classification would include, but not be limited to:
  - Arterial Gas Embolism.
  - DCS symptoms requiring multiple hyperbaric treatment.
  - Near drowning.
  - Oxygen Toxicity.
  - Hypercapnea.
  - Spinal injuries.
  - Heart attack.
  - Fatality.
  - Ommitted decompression.

APPENDIX 10
VESSEL FLOAT PLAN

Vessels operated by UM,CP employees for work on behalf of the university shall file a Float Plan (www.des.umd.edu) prior to the start of a cruise. All required safety equipment shall be on board and operator(s) of the vessel shall have the necessary operator safety certificate on file**.**

1. Name & phone of operator

_____

2. Description of boat:

Type _____ Color _____ Trim color(s) _____

Registration No. _____ Length _____

Vessel name _____ Make _____ Other _____

3. Engine type _____ H.P. _____

No. of engines _____ Fuel capacity _____

4. Minimum Safety Equipment required:

☐ PFDs ☐ Throw Device ☐ Visual distress signals ☐ First aid kit ☐ Fire extinguishers

☐ Paddles ☐ Anchor ☐ Other _____

5. ☐Radio ☐ hand ☐ fixed Working Channel _____ ☐ GPS ☐ hand ☐ fixed ☐ horn

6. Mobile phone: ☐Yes ☐No Phone number _____

7. Towing vehicle license number _____

Type _____ Trailer license

_____

Vehicle color _____ Make _____

Where parked _____

8. Persons on board: (*Add a second page if necessary*)

Name Age Address & phone

a. _____

b. _____

c. _____

d. _____

e. _____

f. _____

9. Do any of those on board have a medical problem or condition? ☐Yes ☐No

If yes, describe _____

10. Trip plan: Depart from _____ Time _____

Destination points _____ Return time _____

11. Purpose _____

12. Name of vessel monitor _____ Phone _____

13. Emergency Vessel assistance (*Sea Tow*) (*BoatUS*) Phone_____

NOTE: If the vessel monitor has not been notified of the return of the vessel two hours after projected return time, he or she is to notify:

US Coast Guard (Phone) _____

or MD Natural Resources Police (Phone) _____

*** NOTICE:** "Persons on board" does not govern the number of people that an uninspected vessel may legally carry. The capacity plate attached to each vessel guides the captain in determining safe limits. The weight of all equipment plus passengers and crew should be taken into consideration.

APPENDIX 11
ACCIDENT REPORT FORM

(Water related accidents ONLY. Keep a copy of this form on the vessel)

DIRECTIONS: Accidents, whether involving injury or not, must be reported to the UM,CP Office of Risk Management as soon as possible after the event. This report form is to be filed with the Safe Boating Officer / DSO within 24 hours after an accident.

NAME _____ DATE _____

LOCATION

_____

ACTIVITY

_____

_____

_____

CAUSE OF ACCIDENT

_____

_____

_____

CORRECTIVE MEASURES

_____

_____

_____

INJURIES (DETAIL)

_____

_____

_____

_____

FIRST AID

_____

_____

_____

DISPOSITION OF VICTIM

_____

_____

_____

_____

_____

_____

_____

_____

_____

_____

APPENDIX 12

UM,CP DIVE LOG

A dive log sheet must be submitted after EACH DIVING EXPEDITION OR MONTHLY at the latest. Log sheets may be printed from the dive manual. A separate detailed report must be filed immediately for any accident or equipment failures. UM,CP diving regulations require current scientific diver status and an APPROVED DIVE PLAN on file with the Diving Safety Officer (DSO) PRIOR TO ENGAGING in official diving activities.

DIVER _____ OPERATION _____ DEPTH CERTIFIED _____ MONTH _____ YEAR _____

Dive	Date (mm / dd)	Dive Partner	Max Depth (feet)	Dive Time (minutes)	Air or Nitrox (percent)	Surface Interval (minutes)	Location, comments, and notes
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

Total your scientific diving activities for this period recording DIVE TIME IN MINUTES. Final figures (G) are TOTALS to date FOR THE CALENDAR YEAR.

	A Carry forward tally from last report below	B This period 0' - 30'	C This period 31'-60'	D This period 61'-100'	E This period 101'-130'	F Total this period (add B through E)	G Total for year (add A + F)	Table or computer used
Number of dives								
Dive time (minutes)								

UM DIVE PLAN

Submitted by_____ Email _____
Department_____ Date _____

To: William Sarro, UM Diving Safety Officer wsarro@umd.edu or fax 410-787-9478

Dive Monitor _____ Phone number _____
Dates of research dives_____
Location of Dives_____

1 Participating Scientific Divers

Divers Name	Depth Certification	Certifying Agency
1. (lead Diver)		
2.		
3.		
4		
5.		

2 Emergency Information

Divers Name	Emergency Phone	Contact	Relationship
1. (lead diver)			
2.			
3.			
4.			
5.			

3. Emergency plan (*NOTE: Oxygen unit and first kit must be at the dive site*)
Nearest hyberbaric chamber location_____
Method of transport/contact _____
UM Emergency Phone number _____ **DAN 1-919-684 4326**
DNR phone _____ **US Coast Guard** phone _____

4. Approximate number of proposed dives_____
5. Estimated depths and bottom times anticipated _____
6. Estimated completion time and time out of water _____
7. Decompression status and repetitive dive plans if required (attach separate sheet if necessary)
_____
8. Non- emergency deviations from this dive plan and/or UM Dive manual must be authorized
9. Proposed work, equipment and boats to be employed: _____

Note : Dive plan must be filed and approved by the UM DSO prior to your dive. Please allow 72 hours for approval process. Dives are not authorized without approval.

APPENDIX 14

UNIVERSITY OF MARYLAND DIVING CONTROL BOARD

RELEASE AND WAIVER and INFORMED CONSENT AGREEMENT

In consideration of being permitted to participate as a voluntary diver in the diving program, skin and/or scuba diving activities, and incidental activities related thereto ("Diving Activities") and described below, that are to be conducted under the auspices of or in cooperation with the University of Maryland (University), a public agency and instrumentality of the State of Maryland (State), through the University's Department of _____, I,_____, the undersigned participant, hereby represent that I

(1) Am at least eighteen years of age; and

(2) Hold at least a basic recreational scuba diving certification from a nationally recognized certification organization; and

(3) Have obtained a copy of the UM,CP Diving Safety Manual and am familiar with its content, including its safety requirements. (On-line at http://www.des.umd.edu/risk_comm/diving/) or from the Dive Safety Officer (DSO); and I

(4) Am fully aware of and understand the obligations and requirements to maintain my diving skills, physical fitness and mental preparation for all dives; the dangers associated with Diving Activities, including but not limited to near drowning, air embolism, carbon dioxide excess, squeezes, oxygen poisoning, nitrogen narcosis, exhaustion and panic, respiratory fatigue, motion sickness, decompression sickness (DCS), hypothermia, hypoxia/anoxia, barotrauma, hyperbaric treatment, hyperoxic, hypercapnia DCS, pneumothorax, mediastinal emphysema, air embolism, subcutaneous emphysema, ruptured eardrum or round window rupture, paralysis, arterial gas embolism (A.G.E.) (see http://www.scuba-doc.com/) and being fully informed of these obligations, requirements and dangers, I voluntarily assume all risk of loss, damage, illness, injury to my person or property and death that may result from my participation in Diving Activities; and

(5) Have and will continue to have for the duration of Diving Activities health insurance that is adequate to cover any injuries or illnesses that I may sustain in connection with Diving Activities and that will apply to Diving Activities conducted outside the United States.

I further agree to:

(6) Conduct all Diving Activities as no-decompression dives; and

(7) Indemnify and hold harmless the State, the University and their officers, agents and employees from and against any and all claims or causes or action by whomever or wherever made or presented for personal injuries, property damage or wrongful death related to or arising out of Diving Activities; and

(8) Fully and finally waive and release the State, the University and their officers, agents and employees from and against any and all actions or causes of action, claims, or demands that are associated with or related in any way to the Diving Activities whether or not such actions, claims, or demands arise out of the negligence, omission, default or other action of the University, its officers, agents, employees and/or any person or entity associated with Diving Activities.

This Agreement represents my complete understanding with the University regarding the subject of this agreement. I understand that this Agreement may not be modified without my written agreement and that, in the event of a dispute regarding its terms, this Agreement will be governed by the laws of the State of Maryland. I certify that I have read this Agreement, have been afforded the right to consult an adviser or attorney prior to signing it, understand its terms and conditions and voluntarily execute this Agreement.

_____

Participant name Signature Date

_____

Address

E-Mail Address_____ Date of Birth _____

(If participant is under 18 years old contact the Dive Safety Officer at wsarro@accmail.umd.edu)

UMCP-DCB-Rev 7/9/08